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## **Table of Contents**

**State/Territory Name: Washington**

**State Plan Amendment (SPA) #: 18-0037**

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) 179 Form
- 3) Approved SPA Pages

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
Seattle Regional Office  
701 Fifth Avenue, Suite 1600, MS/RX-200  
Seattle, WA 98104



Division of Medicaid & Children's Health Operations

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January 23, 2019

Susan Birch, Director  
MaryAnne Lindeblad, Medicaid Director  
Health Care Authority  
Post Office Box 45502  
Olympia, WA 98504-5010

RE: Washington State Plan Amendment (SPA) Transmittal Number 18-0037 (Integrated Managed Care Update)

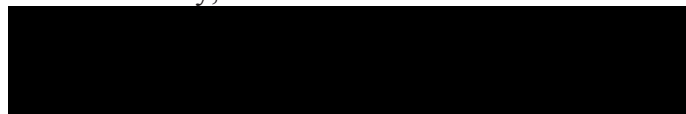
Dear Ms. Birch and Ms. Lindeblad:

The Centers for Medicare & Medicaid Services (CMS) has completed its review of the enclosed State Plan Amendment (SPA), Transmittal Number 18-0037. This SPA amends State Plan Attachment 3.1-F, part 2 to transition four more regions into Fully Integrated Managed Care.

This SPA is approved effective January 1, 2019.

If there are additional questions please feel free to contact me, or your staff may contact Rick Dawson at [Rick.Dawson@cms.hhs.gov](mailto:Rick.Dawson@cms.hhs.gov) or (206) 615-2387.

Sincerely,



David L. Meacham  
Associate Regional Administrator

Enclosure

cc:  
Ann Myers, HCA  
Alison Robbins, HCA

**TRANSMITTAL AND NOTICE OF APPROVAL OF  
STATE PLAN MATERIAL**

1. TRANSMITTAL NUMBER:  
**18-0037**

2. STATE  
Washington

**FOR: HEALTH CARE FINANCING ADMINISTRATION**

3. PROGRAM IDENTIFICATION: TITLE XIX OF THE  
SOCIAL SECURITY ACT (MEDICAID)

TO: REGIONAL ADMINISTRATOR  
HEALTH CARE FINANCING ADMINISTRATION  
DEPARTMENT OF HEALTH AND HUMAN SERVICES

4. PROPOSED EFFECTIVE DATE  
January 1, 2019

5. TYPE OF PLAN MATERIAL (*Check One*):

NEW STATE PLAN                       AMENDMENT TO BE CONSIDERED AS NEW PLAN                       AMENDMENT

COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (*Separate Transmittal for each amendment*)

6. FEDERAL STATUTE/REGULATION CITATION:

~~1902(a)~~ of the Social Security Act  
1932 (a)

7. FEDERAL BUDGET IMPACT:

a. FFY 2019 \$ 0  
b. FFY 2020 \$ 0

8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT:

Attachment 3.1-F Part 2, pages: 1 - 39

9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION  
OR ATTACHMENT (*If Applicable*):

Attachment 3.1-F Part 2, pages: 1 - 39

10. SUBJECT OF AMENDMENT:

Integrated Managed Care

11. GOVERNOR'S REVIEW (*Check One*):

GOVERNOR'S OFFICE REPORTED NO COMMENT                       OTHER, AS SPECIFIED: Exempt  
 COMMENTS OF GOVERNOR'S OFFICE ENCLOSED  
 NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL

12. SIGNATURE OF STATE AGENCY OFFICIAL:

13. TYPED NAME:

MaryAnne Lindeblad

14. TITLE:

Director

15. DATE SUBMITTED:

12-28-18

16. RETURN TO:

Ann Myers  
Rules and Publications  
Division of Legal Services  
Health Care Authority  
626 8<sup>th</sup> Ave SE MS: 42716  
Olympia, WA 98504-2716

**FOR REGIONAL OFFICE USE ONLY**

17. DATE RECEIVED:

12/28/18

18. DATE APPROVED:

1/23/19

PLAN APPROVED -- ONE COPY ATTACHED

19. EFFECTIVE DATE OF APPROVED MATERIAL:

1/1/19

20. SIGNATURE OF REGIONAL OFFICIAL

Digitally signed by David L. Meacham -S  
on 2019.01.24 08:03:19 -0800, o=U.S. Government, ou=HHS,

21. TYPED NAME:

David L. Meacham

22. TITLE:

Associate Regional Administrator

cn=David L. Meacham -S  
Date: 2019.01.24 08:03:19 -08'00'

23. REMARKS:

1/9/19-State authorized a P&I change to block #6.

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APPLE HEALTH MANAGED CARE

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Citation

Condition or Requirement

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1932(a)(1)(A)

**A. Section 1932(a)(1)(A) of the Social Security Act**

The State of Washington enrolls Medicaid beneficiaries on a mandatory basis into managed care entities (managed care organization [MCOs], primary care case managers [PCCMs], and/or PCCM entities) in the absence of section 1115 or section 1915(b) waiver authority. This authority is granted under section 1932(a)(1)(A) of the Social Security Act (the Act). Under this authority, a state can amend its Medicaid State Plan to require certain categories of Medicaid beneficiaries to enroll in managed care entities without being out of compliance with provisions of section 1902 of the Act on statewideness (42 CFR 431.50), freedom of choice (42 CFR 431.51) or comparability (42 CFR 440.230).

This authority may *not* be used to mandate enrollment in Prepaid Inpatient Health Plans (PIHPs), Prepaid Ambulatory Health Plans (PAHPs), nor can it be used to mandate the enrollment of Medicaid beneficiaries described in 42 CFR 438.50(d).

Where the state's assurance is requested in this document for compliance with a particular requirement of 42 CFR 438 et seq., the state shall place a check mark to affirm that it will be in compliance no later than the applicable compliance date. All applicable assurances should be checked, even when the compliance date is in the future. **Please see Appendix A of this document for compliance dates for various sections of 42 CFR 438.**

1932(a)(1)(B)(i)

1932(a)(1)(B)(ii)

42 CFR 438.2

42 CFR 438.6

42 CFR 438.50(b)(1)-(2)

**B. Managed Care Delivery System**

The state will contract with the entity(ies) below and reimburse them as noted under each entity type.

1.  MCO
  - a.  Capitation
  - b.  The state assures that all applicable requirements of 42 CFR 438.6, regarding special contract provisions related to payment, will be met.
2.  PCCM (individual practitioners)
  - a.  Case management fee
  - b.  Other (please explain below)
3.  PCCM entity
  - a.  Case management fee
  - b.  Shared savings, incentive payments, and/or financial rewards (see 42 CFR 438.310(c)(2))
  - c.  Other (please explain below)

If PCCM entity is selected, please indicate which of the following function(s) the entity will provide (as in 42 CFR 438.2), in addition to PCCM services:

APPLE HEALTH MANAGED CARE

Citation	Condition or Requirement
	<ul style="list-style-type: none"> <li><input type="checkbox"/> Provision of intensive telephonic case management</li> <li><input type="checkbox"/> Provision of face-to-face case management</li> <li><input type="checkbox"/> Operation of a nurse triage advice line</li> <li><input type="checkbox"/> Development of enrollee care plans.</li> <li><input type="checkbox"/> Execution of contracts with fee-for-service (FFS) providers in the FFS program</li> <li><input type="checkbox"/> Oversight responsibilities for the activities of FFS providers in the FFS program</li> <li><input type="checkbox"/> Provision of payments to FFS providers on behalf of the state.</li> <li><input type="checkbox"/> Provision of enrollee outreach and education activities.</li> <li><input type="checkbox"/> Operation of a customer service call center.</li> <li><input type="checkbox"/> Review of provider claims, utilization and/or practice patterns to conduct provider profiling and/or practice improvement.</li> <li><input type="checkbox"/> Implementation of quality improvement activities including administering enrollee satisfaction surveys or collecting data necessary for performance measurement of providers.</li> <li><input type="checkbox"/> Coordination with behavioral health systems/providers.</li> <li><input type="checkbox"/> Coordination with long-term services and supports systems/providers.</li> <li><input type="checkbox"/> Other (please describe:)</li> </ul>

42 CFR 438.50(b)(4) C. Public Process

Describe the public process including tribal consultation, if applicable, utilized for both the design of the managed care program and its initial implementation. In addition, describe what methods the state will use to ensure ongoing public involvement once the state plan managed care program has been implemented. *(Example: public meeting, advisory groups.)*

*The state uses the following processes, meetings and correspondence to invite stakeholder input for managed care activities:*

- *Statewide Title XIX committee meetings*
- *Monthly open public meetings focusing on the MCOs that provide Apple Health managed care programs but open to anyone*
- *Public website providing information about Apple Health managed care updates and program changes*
- *Regular consultation with American Indian/Alaska Native tribal organizations and clinics on all program changes*
- *Notification of a comprehensive list of stakeholders about changes in the Apple Health managed care program*
- *Notification of enrollees about all proposed substantive changes to the program regarding benefits, administration of benefits (i.e. grievance and appeals, authorizations and denials), service area, or enrollment.*

If the program will include long term services and supports (LTSS), please indicate how the views of stakeholders have been, and will continue to be, solicited and

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APPLE HEALTH MANAGED CARE

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Citation

Condition or Requirement

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addressed during the design, implementation, and oversight of the program, including plans for a member advisory committee (42 CFR 438.70 and 438.110)

*This program does not cover LTSS, but coordinates with the Washington Department of Social and Health Services (DSHS)/Aging and Long Term Support Administration (ALSA) to ensure provision and coordination of medically necessary health care services and LTSS.*

**D. State Assurances and Compliance with the Statute and Regulations**

If applicable to the State Plan, place a check mark to affirm that compliance with the following statutes and regulations will be met.

- |  |   |
|--|---|
| 1932(a)(1)(A)(i)(I)<br>1903(m)<br>42 CFR 438.50(c)(1)                          | 1. <input checked="" type="checkbox"/> The state assures that all of the applicable requirements of section 1903(m) of the Act, for MCOs and MCO contracts will be met.   |
| 1932(a)(1)(A)(i)(I)<br>1905(t)<br>42 CFR 438.50(c)(2)<br>1902(a)(23)(A)        | 2. <input type="checkbox"/> The state assures that all the applicable requirements of section 1905(t) of the Act for PCCMs and PCCM contracts (including for PCCM entities) will be met.  |
| 1932(a)(1)(A)<br>42 CFR 438.50(c)(3)   | 3. <input checked="" type="checkbox"/> The state assures that all the applicable requirements of section 1932 (including subpart (a)(1)(A)) of the Act, for the state's option to limit freedom of choice by requiring beneficiaries to receive their benefits through managed care entities will be met. |
| 1932(a)(1)(A)<br>42 CFR 431.51<br>as 1905(a)(4)(C)<br>42 CFR 438.10(g)(2)(vii) | 4. <input checked="" type="checkbox"/> The state assures that all the applicable requirements of 42 CFR 431.51 regarding freedom of choice for family planning services and supplies defined in section 1905(a)(4)(C) will be met.  |
| 1932(a)(1)(A)  | 5. <input checked="" type="checkbox"/> The state assures that it appropriately identifies individuals in the mandatory exempt groups identified in 1932(a)(1)(A)(i).  |
| 1932(a)(1)(A)<br>42 CFR 438<br>1903(m)   | 6. <input checked="" type="checkbox"/> The state assures that all applicable managed care requirements of 42 CFR Part 438 for MCOs, PCCMs, and PCCM entities will be met.   |
| 1932(a)(1)(A)  | 7. <input checked="" type="checkbox"/> The state assures that all applicable requirements of 42 CFR 438.4, 438.5, 438.7, 438.8, and 438.74 for payments under any risk contracts will be met.   |

APPLE HEALTH MANAGED CARE

Citation

Condition or Requirement

42 CFR 438.4  
 42 CFR 438.5  
 42 CFR 438.7  
 42 CFR 438.8  
 42 CFR 438.74  
 42 CFR 438.50(c)(6)

1932(a)(1)(A)  
 42 CFR 447.362  
 42 CFR 438.50(c)(6)

45 CFR 75.326

42 CFR 438.66

8. \_\_\_ The state assures that all applicable requirements of 42 CFR 447.362 for payments under any non-risk contracts will be met.

9. X The state assures that all applicable requirements of 45 CFR 75.326 for procurement of contracts will be met.

10. Assurances regarding state monitoring requirements:  
X The state assures that all applicable requirements of 42 CFR 438.66(a), (b), and (c), regarding a monitoring system and using data to improve the performance of its managed care program, will be met.  
X The state assures that all applicable requirements of 42 CFR 438.66(d), regarding readiness assessment, will be met.  
X The state assures that all applicable requirements of 42 CFR 438.66(e), regarding reporting to CMS about the managed care program, will be met.

1932(a)(1)(A)  
 1932(a)(2)

E. Populations and Geographic Area

1. *Included Populations.* Please check which eligibility groups are included, if they are enrolled on a **Mandatory (M)** or **Voluntary (V)** basis (as defined in 42 CFR 438.54(b)) or **Excluded (E)**, and the geographic scope of enrollment. Under the **Geographic Area** column, please indicate whether the nature of the population's enrollment is on a statewide basis, or if on less than a statewide basis, please list the applicable counties/regions. Also, if type of enrollment varies by geographic area (for example, mandatory in some areas and voluntary in other areas), please note specifics in the **Geographic Area** column. Under the **Notes** column, please note any additional relevant details about the population or enrollment.

*NOTE: Effective January 1, 2019, the state's Integrated Managed Care program expanded to Adams, Asotin, Benton, Columbia, Ferry, Franklin, Garfield, King, Kittitas, Klickitat, Lincoln, Okanogan, Pend Oreille, Pierce, Spokane, Stevens, Walla Walla, Whitman, and Yakima counties, in addition to Chelan, Clark, Douglas, Grant, and Skamania counties. Coverage described below for mandatory and voluntary counties is unchanged.*

APPLE HEALTH MANAGED CARE

**A. Mandatory Eligibility Groups (Eligibility Groups to which a state must provide Medicaid coverage).**

*For Washington’s Apple Health Managed Care Program, the following eligibility groups and geographic areas apply.*

**1. Family/Adult**

Eligibility Group	Citation – (Regulation [42 CFR] or SSA)	M	V	E	Geographic Area (include specifics if MVE varies by area)	Notes
1. Parents and Other Caretaker Relatives	§435.110	X			Cowlitz, Grays Harbor, Island, Jefferson, Kitsap, Lewis, Mason, Pacific, San Juan, Skagit, Snohomish, Thurston, Wahkiakum, and Whatcom	Voluntary in Clallam County only
2. Pregnant Women	§435.116	X			Same as row 1	Same as row 1
3. Children Under Age 19 (inclusive of deemed newborns under §435.117)	§435.118	X			Same as row 1	Same as row 1
4. Former Foster Care Youth (up to age 26)	§435.150			X		
5. Adult Group (Non-pregnant individuals age 19 – 64 not eligible for Medicare with income no more than 133% FPL)	§435.119	X			Same as row 1	Same as above
6. Transitional Medical Assistance (Includes adults & children, if not eligible under §435.116, §435.118 or §435.119)	1902(a)(52), 1902(e)(1), 1925, and 1931(c)(2) of SSA	X			Same as row 1	Same as above
7. Extended Medicaid due to Spousal Support Collections	§435.115	X			Same as row 1	Same as above



APPLE HEALTH MANAGED CARE

**2. Aged/Blind/Disabled Individuals**

Eligibility Group	Citation (Regulation [42 CFR] or SSA)	M	V	E	Geographic Area (include specifics if M/V/E varies by area)	Notes
8. Individuals Receiving SSI age 19 and over only (See E.2. below regarding age <19)	§435.120	X			Same as row 1	Same as row 1
9. Aged and Disabled Individuals in 209(b) States	§435.121				N/A	
10. Individuals Who Would be Eligible for SSI/SSP but for OASDI COLA Increase since April, 1977	§435.135			X		
11. Disabled Widows and Widowers Ineligible for SSI due to an increase of OASDI	§435.137			X		
12. Disabled Widows and Widowers Ineligible for SSI due to Early Receipt of Social Security	§435.138			X		
13. Working Disabled under 1619(b)	1619(b), 1902(a)(10)(A)(i)(II), and 1905(q) of SSA			X		
14. Disabled Adult Children	1634(c) of SSA	X			Same as row 1	Same as row 1

APPLE HEALTH MANAGED CARE

**B. Optional Eligibility Groups**

**1. Family/Adult**

Eligibility Group	Citation (Regulation [42 CFR] or SSA)	M	V	E	Geographic Area (include specifics if M/V/E varies by area)	Notes
1. Optional Parents and Other Caretaker Relatives	§435.220				N/A	
2. Optional Targeted Low-Income Children	§435.229	X			Cowlitz, Grays Harbor, Island, Jefferson, Kitsap, Lewis, Mason, Pacific, San Juan, Skagit, Snohomish, Thurston, Wahkiakum, and Whatcom	Voluntary in Clallam County only
3. Independent Foster Care Adolescents Under Age 21	§435.226			X		
4. Individuals Under Age 65 with Income Over 133%	§435.218				N/A	
5. Optional Reasonable Classifications of Children Under Age 21	§435.222	X			Same as row 2	Same as row 2
6. Individuals Electing COBRA Continuation Coverage	1902(a)(10)(F) of SSA				N/A	
7. Aged, Blind or Disabled Individuals Eligible for but Not Receiving Cash	§435.210 and §435.230				N/A	
8. Individuals eligible for Cash except for Institutionalized Status	§435.211			X		
9. Individuals Receiving Home and Community-Based Waiver Services Under Institutional Rules	§435.217			X		

APPLE HEALTH MANAGED CARE

**2. Aged/Blind/Disabled Individuals**

Eligibility Group	Citation (Regulation [42 CFR] or SSA)	M	V	E	Geographic Area (include specifics if M/V/E varies by area)	Notes
10. Optional State Supplement Recipients 1634 and SSI Criteria States – with 1616 Agreements	§435.232			X		
11. Optional State Supplemental Recipients 209(b) states and SSI criteria states without 1616 Agreements	§435.234				N/A	
12. Institutionalized Individuals Eligible under a Special Income Level	§435.236			X		
13. Individuals Participating in a PACE Program under Institutional Rules	1934 of the SSA			X		
14. Individuals Receiving Hospice Care	1902(a)(10)(A)(ii) (VII) and 1905(o) of the SSA	X			Same as row 2	Same as row 2
15. Poverty Level Aged or Disabled	1902(a)(10)(A)(ii) (X) and 1902(m) (1) of the SSA				N/A	
16. Work Incentive Group	1902(a)(10)(A)(ii) (XIII) of the SSA				N/A	
17. Ticket to Work Basic Group	1902(a)(10)(A)(ii) (XV) of the SSA				N/A	
18. Ticket to Work Medically Improved Group	1902(a)(10)(A)(ii) (XVI) of the SSA	X			Same as row 2	Same as row 2
19. Family Opportunity Act Children with Disabilities	1902(a)(10)(A)(ii) (XIX) of the SSA				N/A	
20. Individuals Eligible for State Plan Home and Community-Based Services	§435.219	X				Same as row 2

APPLE HEALTH MANAGED CARE

**3. Partial Benefits**

Eligibility Group	Citation (Regulation [42 CFR] or SSA)	M	V	E	Geographic Area (include specifics if M/V/E varies by area)	Notes
21. Family Planning Services	§435.214			X		
22. Individuals with Tuberculosis	§435.215				N/A	
23. Individuals Needing Treatment for Breast or Cervical Cancer (under age 65)	§435.213			X		

**C. Medically Needy**

Eligibility Group	Citation (Regulation [42 CFR] or SSA)	M	V	E	Geographic Area (include specifics if M/V/E varies by area)	Notes
1. Medically Needy Pregnant Women	§435.301(b)(1)(i) and (iv)			X		
2. Medically Needy Children under Age 18	§435.301(b)(1)(ii)			X		
3. Medically Needy Children Age 18 through 20	§435.308			X		
4. Medically Needy Parents and Other Caretaker Relatives	§435.310				N/A	
5. Medically Needy Aged	§435.320			X		
6. Medically Needy Blind	§435.322			X		
7. Medically Needy Disabled	§435.324			X		
8. Medically Needy Aged, Blind and Disabled in 209(b) States	§435.330				N/A	

APPLE HEALTH MANAGED CARE

**2. Voluntary Only or Excluded Populations.** Under this managed care authority, some populations cannot be subject to mandatory enrollment in an MCO, PCCM, or PCCM entity (per 42 CFR 438.50(d)). Some such populations are Eligibility Groups separate from those listed above in E.1., while others (such as American Indians/Alaskan Natives) can be part of multiple Eligibility Groups identified in E.1. above.

Please indicate if any of the following populations are excluded from the program, or have only voluntary enrollment (even if they are part of an eligibility group listed above in E.1. as having mandatory enrollment):

Population	Citation (Regulation [42 CFR] or SSA)	V	E	Geographic Area	Notes
<b>Medicare Savings Program –</b> Qualified Medicare Beneficiaries, Qualified Disabled Working Individuals, Specified Low Income Medicare Beneficiaries, and/or Qualifying Individuals	1902(a)(10)(E), 1905(p), 1905(s) of the SSA		X		
<b>“Dual Eligibles” not described under Medicare Savings Program</b> - Medicaid beneficiaries enrolled in an eligibility group other than one of the Medicare Savings Program groups who are also eligible for Medicare			X		
<b>American Indian/Alaskan Native—</b> Medicaid beneficiaries who are American Indians or Alaskan Natives and members of federally recognized tribes	§438.14	X		Statewide for all managed care programs	
<b>Children Receiving SSI who are Under Age 19</b> - Children under 19 years of age who are eligible for SSI under title XVI	§435.120	X		Statewide for all managed care programs	
<b>Qualified Disabled Children Under Age 19</b> - Certain children under 19 living at home, who are disabled and would be eligible if they were living in a medical institution.	§435.225 1902(e)(3) of the SSA			N/A	

APPLE HEALTH MANAGED CARE

Population	Citation (Regulation [42 CFR] or SSA)	V	E	Geographic Area	Notes
<b>Title IV-E Children</b> - Children receiving foster care, adoption assistance, or kinship guardianship assistance under title IV-E *	§435.145		X		
<b>Non-Title IV-E Adoption Assistance Under Age 21*</b>	§435.227	X		Statewide for all managed care programs	
<b>Children with Special Health Care Needs</b> - Receiving services through a family-centered, community-based, coordinated care system that receives grant funds under section 501(a)(1)(D) of Title V, and is defined by the state in terms of either program participation or special health care needs.		X		Statewide for all managed care programs	

\* = Note – Individuals in these two Eligibility Groups who are age 19 and 20 can have mandatory enrollment in managed care, while those under age 19 cannot have mandatory enrollment. Use the Notes column to indicate if you plan to mandatorily enroll 19 and 20 year olds in these Eligibility Groups.

APPLE HEALTH MANAGED CARE

**3. (Optional) Other Exceptions.** The following populations (which can be part of various Eligibility Groups) can be subject to mandatory enrollment in managed care, but states may elect to make exceptions for these or other individuals. Please indicate if any of the following populations are excluded from the program, or have only voluntary enrollment (even if they are part of an eligibility group listed above in E.1. as having mandatory enrollment):

Population	V	E	Notes
<b>Other Insurance--</b> Medicaid beneficiaries who have other health insurance			
<b>Reside in Nursing Facility or ICF/IID-</b> Medicaid beneficiaries who reside in Nursing Facilities (NF) or Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IID).		X	<i>Short-term residents of NFs are mandatorily enrolled; long-term NF residents are exempt. Residents of ICF/IID are exempt.</i>
<b>Enrolled in Another Managed Care Program-</b> Medicaid beneficiaries who are enrolled in another Medicaid managed care program		X	
<b>Eligibility Less Than 3 Months--</b> Medicaid beneficiaries who would have less than three months of Medicaid eligibility remaining upon enrollment into the program		X	
<b>Participate in HCBS Waiver--</b> Medicaid beneficiaries who participate in a Home and Community Based Waiver (HCBS, also referred to as a 1915(c) waiver).			
<b>Retroactive Eligibility--</b> Medicaid beneficiaries for the period of retroactive eligibility.			
<b>Other (Please define):</b>			

APPLE HEALTH MANAGED CARE

**A.2 Mandatory Eligibility Groups (Eligibility Groups to which a state must provide Medicaid coverage).**

*In Washington's Integrated Managed Care Program, the following Eligibility Groups and Geographic Areas apply*

**3. Family/Adult**

Eligibility Group	Citation – (Regulation [42 CFR] or SSA)	M	V	E	Geographic Area (include specifics if MVE varies by area)	Notes
1. Parents and Other Caretaker Relatives	§435.110	X			Adams, Asotin, Benton, Chelan, Clark, Columbia, Douglas, Ferry, Franklin, Garfield, Grant, King, Kittitas, Klickitat, Lincoln, Okanogan, Pend Oreille, Pierce, Skamania, Spokane, Stevens, Walla Walla, Whitman, Yakima	
2. Pregnant Women	§435.116	X			Same as row 1	
3. Children Under Age 19 (inclusive of deemed newborns under §435.117)	§435.118	X			Same as row 1	
4. Former Foster Care Youth (up to age 26)	§435.150			X		
5. Adult Group (Non-pregnant individuals age 19 – 64 not eligible for Medicare with income no more than 133% FPL)	§435.119	X			Same as row 1	
6. Transitional Medical Assistance (Includes adults & children, if not eligible under §435.116, §435.118 or §435.119)	1902(a)(52), 1902(e)(1), 1925, and 1931(c)(2) of SSA	X			Same as row 1	
7. Extended Medicaid due to Spousal Support Collections	§435.115	X			Same as row 1	



APPLE HEALTH MANAGED CARE

**2. Aged/Blind/Disabled Individuals**

Eligibility Group	Citation (Regulation [42 CFR] or SSA)	M	V	E	Geographic Area (include specifics if M/V/E varies by area)	Notes
8. Individuals Receiving SSI age 19 and over only (See E.2. below regarding age <19)	§435.120	X			Same as row 1	
9. Aged and Disabled Individuals in 209(b) States	§435.121				N/A	
10. Individuals Who Would be Eligible for SSI/SSP but for OASDI COLA Increase since April, 1977	§435.135			X		
11. Disabled Widows and Widowers Ineligible for SSI due to an increase of OASDI	§435.137			X		
12. Disabled Widows and Widowers Ineligible for SSI due to Early Receipt of Social Security	§435.138			X		
13. Working Disabled under 1619(b)	1619(b), 1902(a)(10)(A)(i)(II), and 1905(q) of SSA			X		
14. Disabled Adult Children	1634(c) of SSA	X			Same as row 1	

APPLE HEALTH MANAGED CARE

**B. Optional Eligibility Groups**

**1. Family/Adult**

Eligibility Group	Citation (Regulation [42 CFR] or SSA)	M	V	E	Geographic Area (include specifics if M/V/E varies by area)	Notes
1. Optional Parents and Other Caretaker Relatives	§435.220				N/A	
2. Optional Targeted Low-Income Children	§435.229	X			Adams, Asotin, Benton, Chelan, Clark, Columbia, Douglas, Ferry, Franklin, Garfield, Grant, King, Kittitas, Klickitat, Lincoln, Okanogan, Pend Oreille, Pierce, Skamania, Spokane, Stevens, Walla Walla, Whitman, Yakima	
3. Independent Foster Care Adolescents Under Age 21	§435.226			X		
4. Individuals Under Age 65 with Income Over 133%	§435.218				N/A	
5. Optional Reasonable Classifications of Children Under Age 21	§435.222	X			Same as row 2	
6. Individuals Electing COBRA Continuation Coverage	1902(a)(10)(F) of SSA				N/A	

APPLE HEALTH MANAGED CARE

**2. Aged/Blind/Disabled Individuals**

Eligibility Group	Citation (Regulation [42 CFR] or SSA)	M	V	E	Geographic Area (include specifics if M/V/E varies by area)	Notes
7. Aged, Blind or Disabled Individuals Eligible for but Not Receiving Cash	§435.210 and §435.230				N/A	
8. Individuals eligible for Cash except for Institutionalized Status	§435.211			X		
9. Individuals Receiving Home and Community-Based Waiver Services Under Institutional Rules	§435.217			X		
10. Optional State Supplement Recipients 1634 and SSI Criteria States – with 1616 Agreements	§435.232			X		
11. Optional State Supplemental Recipients 209(b) states and SSI criteria states without 1616 Agreements	§435.234				N/A	
12. Institutionalized Individuals Eligible under a Special Income Level	§435.236			X		
13. Individuals Participating in a PACE Program under Institutional Rules	1934 of the SSA			X		
14. Individuals Receiving Hospice Care	1902(a)(10)(A)(ii)(VII) and 1905(o) of the SSA	X			Same as row 2	
15. Poverty Level Aged or Disabled	1902(a)(10)(A)(ii)(X) and 1902(m)(1) of the SSA				N/A	
16. Work Incentive Group	1902(a)(10)(A)(ii)(XIII) of the SSA				N/A	
17. Ticket to Work Basic Group	1902(a)(10)(A)(ii)(XV) of the SSA				N/A	
18. Ticket to Work Medically Improved Group	1902(a)(10)(A)(ii)(XVI) of the SSA	X			Same as row 2	
19. Family Opportunity Act Children with Disabilities	1902(a)(10)(A)(ii)(XIX) of the SSA				N/A	

APPLE HEALTH MANAGED CARE

**3. Partial Benefits**

Eligibility Group	Citation (Regulation [42 CFR] or SSA)	M	V	E	Geographic Area (include specifics if M/V/E varies by area)	Notes
21. Family Planning Services	§435.214			X		
22. Individuals with Tuberculosis	§435.215				N/A	
23. Individuals Needing Treatment for Breast or Cervical Cancer (under age 65)	§435.213			X		

**C. Medically Needy**

Eligibility Group	Citation (Regulation [42 CFR] or SSA)	M	V	E	Geographic Area (include specifics if M/V/E varies by area)	Notes
1. Medically Needy Pregnant Women	§435.301(b)(1)(i) and (iv)			X		
2. Medically Needy Children under Age 18	§435.301(b)(1)(ii)			X		
3. Medically Needy Children Age 18 through 20	§435.308			X		
4. Medically Needy Parents and Other Caretaker Relatives	§435.310				N/A	
5. Medically Needy Aged	§435.320			X		
6. Medically Needy Blind	§435.322			X		
7. Medically Needy Disabled	§435.324			X		
8. Medically Needy Aged, Blind and Disabled in 209(b) States	§435.330				N/A	

APPLE HEALTH MANAGED CARE

**2. Voluntary Only or Excluded Populations.** Under this managed care authority, some populations cannot be subject to mandatory enrollment in an MCO, PCCM, or PCCM entity (per 42 CFR 438.50(d)). Some such populations are Eligibility Groups separate from those listed above in E.1., while others (such as American Indians/Alaskan Natives) can be part of multiple Eligibility Groups identified in E.1. above.

Please indicate if any of the following populations are excluded from the program, or have only voluntary enrollment (even if they are part of an eligibility group listed above in E.1. as having mandatory enrollment):

Population	Citation (Regulation [42 CFR] or SSA)	V	E	Geographic Area	Notes
<b>Medicare Savings Program –</b> Qualified Medicare Beneficiaries, Qualified Disabled Working Individuals, Specified Low Income Medicare Beneficiaries, and/or Qualifying Individuals	1902(a)(10)(E), 1905(p), 1905(s) of the SSA		X		
<b>“Dual Eligibles” not described under Medicare Savings Program</b> - Medicaid beneficiaries enrolled in an eligibility group other than one of the Medicare Savings Program groups who are also eligible for Medicare			X		
<b>American Indian/Alaskan Native—</b> Medicaid beneficiaries who are American Indians or Alaskan Natives and members of federally recognized tribes	§438.14	X		Statewide for all managed care programs	
<b>Children Receiving SSI who are Under Age 19</b> - Children under 19 years of age who are eligible for SSI under title XVI	§435.120	X		Statewide for all managed care programs	
<b>Qualified Disabled Children Under Age 19</b> - Certain children under 19 living at home, who are disabled and would be eligible if they were living in a medical institution.	§435.225 1902(e)(3) of the SSA			N/A	

APPLE HEALTH MANAGED CARE

Population	Citation (Regulation [42 CFR] or SSA)	V	E	Geographic Area	Notes
<b>Title IV-E Children</b> - Children receiving foster care, adoption assistance, or kinship guardianship assistance under title IV-E *	§435.145		X		
<b>Non-Title IV-E Adoption Assistance Under Age 21*</b>	§435.227	X		Adams, Asotin, Benton, Chelan, Clark, Columbia, Douglas, Ferry, Franklin, Garfield, Grant, Lincoln, King, Kittitas, Klickitat, Okanogan, Pend Oreille, Pierce, Skamania, Spokane, Stevens, Walla Walla, Whitman, Yakima	
<b>Children with Special Health Care Needs</b> - Receiving services through a family-centered, community-based, coordinated care system that receives grant funds under section 501(a)(1)(D) of Title V, and is defined by the state in terms of either program participation or special health care needs.		X		Adams, Asotin, Benton, Chelan, Clark, Columbia, Douglas, Ferry, Franklin, Garfield, Grant, Lincoln, King, Kittitas, Klickitat, Okanogan, Pend Oreille, Pierce, Skamania, Spokane, Stevens, Walla Walla, Whitman, Yakima	

\* = Note – Individuals in these two Eligibility Groups who are age 19 and 20 can have mandatory enrollment in managed care, while those under age 19 cannot have mandatory enrollment. Use the Notes column to indicate if you plan to mandatorily enroll 19 and 20 year olds in these Eligibility Groups.

APPLE HEALTH MANAGED CARE

**3. (Optional) Other Exceptions.** The following populations (which can be part of various Eligibility Groups) can be subject to mandatory enrollment in managed care, but states may elect to make exceptions for these or other individuals. Please indicate if any of the following populations are excluded from the program, or have only voluntary enrollment (even if they are part of an eligibility group listed above in E.1. as having mandatory enrollment):

Population	V	E	Notes
<b>Other Insurance--</b> Medicaid beneficiaries who have other health insurance			
<b>Reside in Nursing Facility or ICF/IID-</b> Medicaid beneficiaries who reside in Nursing Facilities (NF) or Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IID).		X	<i>Short-term residents of NFs are mandatorily enrolled; long-term NF residents are exempt. Residents of ICF/IID are exempt.</i>
<b>Enrolled in Another Managed Care Program-</b> Medicaid beneficiaries who are enrolled in another Medicaid managed care program		X	
<b>Eligibility Less Than 3 Months--</b> Medicaid beneficiaries who would have less than three months of Medicaid eligibility remaining upon enrollment into the program		X	
<b>Participate in HCBS Waiver--</b> Medicaid beneficiaries who participate in a Home and Community Based Waiver (HCBS, also referred to as a 1915(c) waiver).			
<b>Retroactive Eligibility--</b> Medicaid beneficiaries for the period of retroactive eligibility.			
<b>Other (Please define):</b>			

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 APPLE HEALTH MANAGED CARE
 

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1932(a)(4)  
42 CFR 438.54

**F. Enrollment Process**

Based on whether mandatory and/or voluntary enrollment are applicable to your program (see E. Populations and Geographic Area and definitions in 42 CFR 438.54(b)), please complete the below:

1. For **voluntary** enrollment: (see 42 CFR 438.54(c))
  - a. Please describe how the state fulfills its obligations to provide information as specified in 42 CFR 438.10(c)(4), 42 CFR 438.10(e) and 42 CFR 54(c)(3).

*Newly eligible beneficiaries receive information about how to access the state's "Welcome to Apple Health" handbook on the Health Care Authority website. The handbook provides general information about Medicaid programs and services and gives information about how to enroll in Apple Health Managed Care if the beneficiary so desires.*

*AI/AN are provided with specific information about their ability to remain in fee-for-service for all health care services, as well as their managed care options for MCO managed care or Primary Care Case Management (PCCM – Described in a separate State Plan Amendment).*

*If AI/AN choose to enroll in managed care, they must proactively enroll through the Health Benefit Exchange, ProviderOne portal or by calling the Medical Assistance Customer Service Center (MACSC).*

States with voluntary enrollment must have an enrollment choice period or passive enrollment. Please indicate which will apply to the managed care program:

- b.  If applicable, please check here to indicate that the state provides an **enrollment choice period**, as described in 42 CFR 438.54(c)(1)(i) and 42 CFR 438.54(c)(2)(i), during which individuals who are subject to voluntary enrollment may make an active choice to enroll in the managed care program, or will otherwise continue to receive covered services through the fee-for-service delivery system.

- i. Please indicate the length of the enrollment choice period:

*Enrollment is continuously open for all managed care programs prospectively for the following month. AI/AN beneficiaries eligible for voluntary enrollment may contact the state's Medical Assistance Customer Service Center (MACSC) to enroll or to end managed care enrollment OR switch to a different MCO at any time. If voluntary enrollees end enrollment, they may re-enroll in managed care at any time prospectively for the following month.*

*Note: managed care enrollees may change MCOs monthly without cause*



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 APPLE HEALTH MANAGED CARE
 

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- c. \_\_\_ If applicable, please check here to indicate that the state uses a **passive enrollment** process, as described in 42 CFR 38.54(c)(1)(ii) and 54(c)(2)(ii), for individuals who are subject to voluntary enrollment.
- i. If so, please describe the algorithm used for passive enrollment and how the algorithm and the state's provision of information meets all of the requirements of 42 CFR 438.54(c)(4),(5),(6),(7), and (8).
  - ii. Please indicate how long the enrollee will have to disenroll from the plan and return to the fee-for-service delivery system:
2. For **mandatory** enrollment: (see 42 CFR 438.54(d))
- a. Please describe how the state fulfills its obligations to provide information as specified in 42 CFR 438.10(c)(4), 42 CFR 438.10(e) and 42 CFR 438.54(d)(3).

*Newly eligible beneficiaries are able to select a plan in the state's Health Benefit Exchange at the time they become eligible for Medicaid, and are enrolled the first of the month in which eligibility is determined. If the newly eligible beneficiary does NOT select a plan at the time eligibility is determined, the state assigns them to a plan based on the algorithm described in item c. below.*

*If a beneficiary wishes to disenroll from the plan to which they are assigned, they may do so calling MACSC, using the ProviderOne portal, or through the state's Health Benefit Exchange (HBE).*

*SSI blind and disabled adults and children become eligible and renew their eligibility through the Department of Social and Health Services (DSHS) Community Services Offices (CSOs). They receive notification of assignment to a managed care plan from the Health Care Authority (HCA) upon receipt of eligibility information from DSHS by HCA, or may enroll in managed care by contacting MACSC or through the ProviderOne Portal.*

*Additionally, newly eligible SSI beneficiaries who have been assigned to a managed care plan in which they do not wish to be enrolled may change plans through ProviderOne or by calling MACSC.*

*All other beneficiaries have the ability to search the HBE for a specific clinic or provider and then determine with which plans that clinic or provider contracts. The HBE also provides information about each of the MCOs available in the potential enrollee's service area by way of providing HEDIS information for each plan, as well as client survey information for each plan. Because most beneficiaries select a plan based on whether their primary care provider (PCP) is contracted, this additional information can help support that decision, or can provide direction for those beneficiaries who do not already have a PCP.*

APPLE HEALTH MANAGED CARE

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*If the beneficiary does not select a plan during the eligibility determination process, the state assigns the beneficiary to a plan and sends the beneficiary notice of the assignment and information about how to access the state's "Welcome to Apple Health" beneficiary handbook for either Apple Health Managed Care or Apple Health Integrated Managed Care on the state's website. Also included are directions on how to change plans if the beneficiary wishes to choose a different plan.*

*SSI beneficiaries are assigned using the same methodology as all other beneficiaries, and receive the same enrollee materials.*

*Newly eligible beneficiaries receive a notice from HCA that contains a link to the online "Welcome to Apple Health" booklet, which contains basic information about Medicaid, how to enroll in Apple Health Managed Care and other information. This booklet can be requested in paper form from HCA if the beneficiary prefers it in hard copy.*

*Beneficiaries also receive a handbook from the MCO (this handbook is produced from an HCA-developed template for Apple Health Managed Care or Apple Health Integrated Managed Care, depending on the service area) as part of the welcome packet.*

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 APPLE HEALTH MANAGED CARE
 

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- b.  If applicable, please check here to indicate that the state provides an **enrollment choice period**, as described in 42 CFR 438.54(d)(2)(i), during which individuals who are subject to mandatory enrollment may make an active choice to select a managed care plan, or will otherwise be enrolled in a plan selected by the state's default enrollment process.

i. Please indicate the length of the enrollment choice period:

\_\_\_\_\_

- c.  If applicable, please check here to indicate that the state uses a **default** enrollment process, as described in 42 CFR 438.54(d)(5), for individuals who are subject to mandatory enrollment.
- i. If so, please describe the algorithm used for default enrollment and how it meets all of the requirements of 42 CFR 438.54(d)(4), (5), (7), and (8).

*The state default assignment algorithm is based on network adequacy, performance under two HEDIS Clinical Performance measures (Childhood Immunization Combo 2 Status, and Comprehensive diabetes care: retinal eye exam) and one Administrative Measure (Initial Health Screen).*

*In regions of the state in which a Fully Integrated Managed Care program has been implemented, default assignment is based on network adequacy and performance under one Administrative Measure (Initial Health Screen).*

*Note: managed care enrollment is continuously open; enrollees may change MCOs monthly without cause*

- d.  If applicable, please check here to indicate that the state uses a **passive enrollment** process, as described in 42 CFR 438.54(d)(2), for individuals who are subject to mandatory enrollment.
- i. If so, please describe the algorithm used for passive enrollment and how it meets all of the requirements of 42 CFR 438.54(d)(4), (6), (7), and (8).

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APPLE HEALTH MANAGED CARE

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1932(a)(4)  
42 CFR 438.54

3. State assurances on the enrollment process.

Place a check mark to affirm the state has met all of the applicable requirements of choice, enrollment, and re-enrollment.

42 CFR 438.52

- a.  The state assures that, per the choice requirements in 42 CFR 438.52:
- i. Medicaid beneficiaries with mandatory enrollment in an MCO will have a choice of at least two MCOs unless the area is considered rural as defined in 42 CFR 438.52(b)(3);
  - ii. Medicaid beneficiaries with mandatory enrollment in a primary care case management system will have a choice of at least two primary care case managers employed by or contracted with the state;
  - iii. Medicaid beneficiaries with mandatory enrollment in a PCCM entity may be limited to a single PCCM entity and will have a choice of at least two PCCMs employed by or contracted with the PCCM entity.

42 CFR 438.52

- b.  The state plan program applies the rural exception to choice requirements of 42 CFR 438.52(a) for MCOs in accordance with 42 CFR 438.52(b). Please list the impacted rural counties:

This provision is not applicable to this 1932 State Plan Amendment.

*Enrollment is voluntary in counties with only one MCO.*

42 CFR 438.56(g)

- c.  The state applies the automatic reenrollment provision in accordance with 42 CFR 438.56(g) if the recipient is disenrolled solely because he or she loses Medicaid eligibility for a period of 2 months or less.

This provision is not applicable to this 1932 State Plan Amendment.

42 CFR 438.71

- d.  The state assures that all applicable requirements of 42 CFR 438.71 regarding developing and implementing a beneficiary support system that provides support to beneficiaries both prior to and after MCO, PCCM, or PCCM entity enrollment will be met.

1932(a)(4)  
42 CFR 438.56

**G. Disenrollment**

1. The state will  / will not  limit disenrollment for managed care.

2. The disenrollment limitation will apply for \_\_\_\_\_ (up to 12 months).

3.  The state assures that beneficiary requests for disenrollment (with and without cause) will be permitted in accordance with 42 CFR 438.56.

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APPLE HEALTH MANAGED CARE

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4. Describe the state's process for notifying the Medicaid beneficiaries of their right to disenroll without cause during the 90 days following the date of their initial enrollment into the MCO, PCCM, or PCCM entity.  
*(Examples: state-generated correspondence, enrollment packets, etc.)*

*Beneficiaries are notified of the ability to disenroll from a managed care plan and change enrollment to another plan in online "Welcome to Apple Health" information they receive from the state upon eligibility determination. While enrollment in managed care is mandatory for most populations, the ability to change plans on a monthly basis is also available. Note: the state's "churn rate" for plan changes is less than 3% of total enrollment.*

5. Describe any additional circumstances of "cause" for disenrollment (if any).

*Medicaid beneficiaries may disenroll (change plans) prospectively each month, without cause.*

**H.** Information Requirements for Beneficiaries

1932(a)(5)(c)  
42  
42 CFR 438.50  
42 CFR 438.10

X The state assures that its State Plan program is in compliance with CFR 438.10 for information requirements specific to MCOs, PCCMs, and PCCM entity programs operated under section 1932(a)(1)(A)(i) State Plan Amendments.

1932(a)(5)(D)(b)  
1903(m)  
1905(t)(3)

**I.** List all benefits for which the MCO is responsible

Complete the chart below to indicate every State Plan-approved service that will be delivered by the MCO, and where each of those services is described in the state's Medicaid State Plan. For "other practitioner services", list each provider type separately. For rehabilitative services, habilitative services, EPSDT services and 1915(i), (j) and (k) services list each program separately by its own list of services. Add additional rows as necessary.

*NOTE: The state's Managed Care Programs are not responsible for provision of 1915(i), (j) and (k) services, which are provided through separate programs with the Department of Social and Health Services and coordinated for MCO enrollees by the MCO with which the beneficiary is enrolled.*

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APPLE HEALTH MANAGED CARE

In the first column of the chart below, enter the name of each State Plan-approved service delivered by the MCO. In the second – fourth column of the chart, enter a State Plan citation providing the Attachment number, Page number, and Item number, respectively.

*Note: The Services in Section 1 below are provided in all counties where Apple Health Managed Care operates. Services in Section 2 are provided in Adams, Asotin, Benton, Chelan, Clark, Columbia, Douglas, Ferry, Franklin, Garfield, Grant, King, Kittitas, Klickitat, Lincoln, Okanogan, Pend Oreille, Pierce, Skamania, Spokane, Stevens, Walla Walla, Whitman, and Yakima counties.*

**Section 1 – Apple Health Managed Care**

State Plan-Approved Service Delivered by the MCO	Medicaid State Plan Citation		
	Attachment #	Page #	Item #
<i>Physician services including but not limited to: critical care, newborn care, neonatal intensive care, osteopathy, manipulative therapy, physical exams, physical care plan oversight, standby services, physician visits, inpatient services, outpatient services, bio-feedback training psychiatric services, optometry services, neurodevelopmental, performing and/or reading diagnostic tests, surgical services including bariatric surgery.</i>	3.1-A	17,18,19	5.a
<i>Anesthesia</i>	3.1-A	12, 28.b	3.b, 10.7
<i>Ambulatory surgery center</i>	3.1-A	26	9.b
<i>Applied behavior analysis</i>	3.1-A	2	6.d.(7)
<i>Blood, blood components, human blood products</i>	3.1-F part 2	11	Bullet
<i>Hearing aids</i>	3.1-A	33	12.c
<i>Contraceptives</i>	3.1-A	32a	12.a.
<i>Drugs - prescribed</i>	3.1-A	4,30,31,32,32a,	12.a
<i>Drugs - over the counter</i>	3.1-A	32a, 32b	12.a
<i>Durable medical equipment</i>	3.1-A	23	7.c
<i>Early, elective induction (before 39 weeks)</i>	4.19-A Part 1	12	C

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APPLE HEALTH MANAGED CARE

<i>Enrollee health education</i>	3.1-F Part 2	13	
<i>Enteral and parenteral nutritional supplements and supplies, including prescribed infant formula</i>	3.1-A	24	7.c.
<i>Family planning</i>	3.1-A	1	4.c
<i>Fitting prosthetic &amp; orthotic devices</i>	3.1-A	23	7.c
<i>Genetic services other than prenatal diagnosis and genetic counseling including: testing, counseling and laboratory services.</i>	3.1-A	60	20.d
<i>Hemophiliac blood products</i>	3.1-F Part 2	11	Bullet
<i>Home health</i>	3.1-A	3, 22, 23, 24	7
<i>Hospice</i>	3.1-A	7, 59, 59a, 59b	18
<i>Immunizations, including the varicella zoster (shingles) vaccine for enrollees age sixty (60) and over. For enrollees under age sixty (60), the Contractor may require prior authorization.</i>	3.1-F Part 2	13	l
<i>Laboratory, radiology, imaging</i>	3.1-A	1, 12	3
<i>Medical examinations, including wellness exams for adults &amp; EPSDT for children; adult exams not in Plan</i>	3.1-A (EPSDT)	14	4.b
<i>Nutritional counseling</i>	3.1-A	13.b, 23	
<i>Outpatient mental health</i>	3.1-A	18	5.a (8)
<i>Pediatric concurrent care - see EPSDT hospice</i>			
<i>Pediatric palliative care - see EPSDT hospice</i>			
<i>Private duty nursing for children age 17 and younger</i>	3.1-A	3, 25	
<i>Renal failure treatment</i>	3.1-A	26, 27	9.a
<i>Respiratory care</i>	3.1-A	8, 60	22
<i>Screening, brief intervention, &amp; referral to treatment (SBIRT)</i>	3.1-A	35, 36	13.c
<i>Tobacco cessation counseling services for pregnant women</i>	3.1-A	1, 16.d	4.d
<i>Telemedicine</i>	3.1-A	10a	
<i>Transplants</i>	3.1-E	1 - 6	

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<i>Therapies - occupational, speech, physical</i>	3.1-A	4, 29	11.a,b,c
<i>Pharmacy – prescriptions</i>		30	12.a
<i>Vision care</i>	3.1-A	20	6.b
<b><i>EPSDT services</i></b>			
<i>Dental</i>	3.1-A	14	4.b.1
<i>Eye exams, refractions, eyeglasses</i>	3.1-A	14	4.b.2
<i>Hearing aids and other hearing devices</i>	3.1-A	15	4.b.3
<i>Outpatient mental health</i>	3.1-A	18	5.a (8)
<i>Outpatient physical therapy, occupational therapy, speech therapy</i>	3.1-A	15	4.b.4
<i>Home health</i>	3.1-A	15	4.b.5
<i>Hospice/palliative care</i>	3.1-A	16	4.b.6
<i>School-based health care</i>	3.1-A	16	4.b.7
<b><i>Habilitative services – available to children and expansion-eligible adults only</i></b>			
<i>Assistive technology</i>	3.1-L <i>Alternative Benefit Plan</i>	ABP5	EHB 7
<i>Behavior support &amp; consultation</i>	See above	See above	See above
<i>Community access</i>	See above	See above	See above
<i>Community guide</i>	See above	See above	See above
<i>Therapy</i>	See above	See above	See above
<i>Supported employment</i>	See above	See above	See above
<i>Transportation</i>	See above	See above	See above
<i>Other habilitative services</i>	See above	See above	See above



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APPLE HEALTH MANAGED CARE

<b>Rehabilitative Services</b>			
<i>Rehabilitation – physical medicine and rehabilitation</i>	3.1-A	37	d (1)
<b>Other practitioners</b>			
<i>Advanced registered nurse practitioners, includes certified registered nurse anesthetists</i>	3.1-A	20	6.d
<i>Chiropractors (for EPSDT only)</i>	3.1-A	20	6.d
<i>Counselors, social workers, others as described</i>	3.1-A	20	6.d
<i>Dental hygienists</i>	3.1-A	20	6.d
<i>Denturists</i>	3.1-A	20	6.d
<i>Licensed mental health practitioners: advanced social workers, independent clinical social workers, marriage &amp; family therapists, mental health counselors, psychiatric advanced nurse practitioners, psychologists</i>	3.1-A	20	6.d
<i>Licensed non-nurse midwives</i>	3.1-A	20	6.d
<i>Naturopathic physicians (limited to physician-related primary care services)</i>	3.1-A	20	6.d
<i>Opticians</i>	3.1-A	20	6.d
<i>Physician assistants</i>	3.1-A	20	6.d
<i>Psychologists</i>	3.1-A	20	6.d

State: Washington

APPLE HEALTH MANAGED CARE

**Section 2 – Integrated Managed Care**

*Note: Effective January 1, 2019, the state’s Integrated Managed Care Plan expanded into Adams, Asotin, Benton, Columbia, Ferry, Franklin, Garfield, King, Kittitas, Klickitat, Lincoln, Okanogan, Pend Oreille, Pierce, Spokane, Stevens, Walla Walla, Whitman, and Yakima counties, in addition to the already integrated counties of Clark, Chelan, Douglas, Grant, and Skamania. Eligible beneficiaries are enrolled into an Integrated Managed Care MCO. Those who are not eligible for Managed Care enrollment are enrolled in the Behavioral Health Services Only program. All services provided in these programs are described below.*

State Plan-Approved Service Delivered by the MCO	Medicaid State Plan Citation		
	Attachment #	Page #	Item #
<i>Physician services including but not limited to: critical care, newborn care, neonatal intensive care, osteopathy, manipulative therapy, physical exams, physical care plan oversight, standby services, physician visits, inpatient services, outpatient services, bio-feedback training psychiatric services, optometry services, neurodevelopmental, performing and/or reading diagnostic tests, surgical services including bariatric surgery.</i>	3.1-A	17,18,19	5.a
<i>Anesthesia</i>	3.1-A	12, 28.b	3.b, 10.7
<i>Ambulatory surgery center</i>	3.1-A	26	9.b
<i>Applied behavior analysis</i>	3.1-A	2	6.d.(7)
<i>Blood, blood components, human blood products</i>	3.1-F part 2	11	Bullet
<i>Hearing aids</i>	3.1-A	33	12.c
<i>Contraceptives</i>	3.1-A	32a	12.a.
<i>Drugs - prescribed</i>	3.1-A	4,30,31,32,32a,	12.a
<i>Drugs - over the counter</i>	3.1-A	32a, 32b	12.a
<i>Durable medical equipment</i>	3.1-A	23	7.c
<i>Early, elective induction (before 39 weeks)</i>	4.19-A Part 1	12	C

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<i>Enrollee health education</i>	3.1-F Part 2	13	
<i>Enteral and parenteral nutritional supplements and supplies, including prescribed infant formula</i>	3.1-A	24	7.c.
<i>Family planning</i>	3.1-A	1	4.c
<i>Fitting prosthetic &amp; orthotic devices</i>	3.1-A	23	7.c
<i>Genetic services other than prenatal diagnosis and genetic counseling including: testing, counseling and laboratory services.</i>	3.1-A	60	20.d
<i>Hemophiliac blood products</i>	3.1-F Part 2	11	Bullet
<i>Home health</i>	3.1-A	3, 22, 23, 24	7
<i>Hospice</i>	3.1-A	7, 59, 59a, 59b	18
<i>Immunizations, including the varicella zoster (shingles) vaccine for enrollees age sixty (60) and over. For enrollees under age sixty (60), the Contractor may require prior authorization.</i>	3.1-F Part 2	13	l
<i>Laboratory, radiology, imaging</i>	3.1-A	1, 12	3
<i>Medical examinations, including wellness exams for adults &amp; EPSDT for children; adult exams not in Plan</i>	3.1-A (EPSDT)	14	4.b
<i>Nutritional counseling</i>	3.1-A	13.b, 23	
<i>Pediatric concurrent care - see EPSDT hospice</i>			
<i>Pediatric palliative care - see EPSDT hospice</i>			
<i>Private duty nursing for children age 17 and younger</i>	3.1-A	3, 25	
<i>Renal failure treatment</i>	3.1-A	26, 27	9.a
<i>Respiratory care</i>	3.1-A	8, 60	22
<i>Screening, brief intervention, &amp; referral to treatment (SBIRT)</i>	3.1-A	35, 36	13.c
<i>Tobacco cessation counseling services for pregnant women</i>	3.1-A	1, 16.d	4.d

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<i>Telemedicine</i>	3.1-A	10a	
<i>Transplants</i>	3.1-E	1 - 6	
<i>Therapies - occupational, speech, physical</i>	3.1-A	4, 29	11.a,b,c
<i>Pharmacy – prescriptions</i>	3.1-A	30	12.a
<i>Vision care</i>	3.1-A	20	6.b
<b>EPSDT services</b>			
<i>Dental</i>	3.1-A	14	4.b.1
<i>Eye exams, refractions, eyeglasses</i>	3.1-A	14	4.b.2
<i>Hearing aids and other hearing devices</i>	3.1-A	15	4.b.3
<i>Outpatient physical therapy</i>	3.1-A	15	4.b.4
<i>Home health</i>	3.1-A	15	4.b.5
<i>Hospice</i>	3.1-A	16	4.b.6
<i>School-based health care</i>	3.1-A	16	4.b.7
<b>Habilitative services – available to children and expansion-eligible adults only</b>			
<i>Assistive technology</i>	3.1-L Alternative Benefit Plan	ABP5	EHB 7
<i>Behavior support &amp; consultation</i>	See above	See above	See above
<i>Community access</i>	See above	See above	See above
<i>Community guide</i>	See above	See above	See above
<i>Therapy</i>	See above	See above	See above
<i>Supported employment</i>	See above	See above	See above
<i>Transportation</i>	See above	See above	See above
<i>Other habilitative</i>	See above	See above	See above

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<b>Rehabilitative services</b>			
<i>Rehabilitation – physical medicine and rehabilitation</i>	3.1-A	37	d (1)
<b>Rehabilitative - mental health services</b>			
<i>Brief intervention</i>	3.1-A	46	13.d.7.B.
<i>Crisis services</i>	3.1-A	47	13.d.7.B
<i>Day support</i>	3.1-A	47	13.d.7.B
<i>Family treatment</i>	3.1-A	47	13.d.7.B
<i>Freestanding evaluation &amp; treatment</i>	3.1-A	48	13.d.7.B
<i>Group treatment</i>	3.1-A	49	13.d.7.B
<i>High intensity treatment</i>	3.1-A	49	13.d.7.B
<i>Individual treatment</i>	3.1-A	50	13.d.7.B
<i>Intake evaluation</i>	3.1-A	50	13.d.7.B
<i>Medication management</i>	3.1-A	50	13.d.7.B
<i>Medication monitoring</i>	3.1-A	50	13.d.7.B
<i>Mental health services in residential settings</i>	3.1-A	51	13.d.7.B
<i>Peer support</i>	3.1-A	51	13.d.7.B
<i>Psychological assessment</i>	3.1-A	52	13.d.7.B
<i>Rehabilitation case management</i>	3.1-A	52	13.d.7.B
<i>Special population evaluation</i>	3.1-A	52	13.d.7.B
<i>Stabilization services</i>	3.1-A	53	13.d.7.B
<i>Therapeutic psychoeducation</i>	3.1-A	53	13.d.7.B

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<b>Other practitioners</b>			
<i>Advanced registered nurse practitioners, includes certified registered nurse anesthetists</i>	3.1-A	20	6.d
<i>Chiropractors (for EPSDT only)</i>	3.1-A	20	6.d
<i>Counselors, social workers, others as described</i>	3.1-A	20	6.d
<i>Dental hygienists</i>	3.1-A	20	6.d
<i>Denturists</i>	3.1-A	20	6.d
<i>Licensed mental health practitioners: advanced social workers, independent clinical social workers, marriage &amp; family therapists, mental health counselors, psychiatric advanced nurse practitioners, psychologists</i>	3.1-A	20	6.d
<i>Licensed non-nurse midwives</i>	3.1-A	20	6.d
<i>Naturopathic physicians (limited to physician-related primary care services)</i>	3.1-A	20	6.d
<i>Opticians</i>	3.1-A	20	6.d
<i>Physician assistants</i>	3.1-A	20	6.d
<i>Psychologists</i>	3.1-A	20	6.d

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Citation	Condition or Requirement
1932(a)(5)(D)(b)(4) 42 CFR 438.228	<b>J.</b> <input checked="" type="checkbox"/> The state assures that each MCO has established an internal grievance and appeal system for enrollees
1932(a)(5)(D)(b)(5) 42 CFR 438.62 42 CFR 438.68 42 CFR 438.206 42 CFR 438.207 42 CFR 438.208	<b>K.</b> Services, including capacity, network adequacy, coordination, and continuity  <input checked="" type="checkbox"/> The state assures that all applicable requirements of 42 CFR 438.62, regarding continued service to enrollees, will be met.  <input checked="" type="checkbox"/> The state assures that all applicable requirements of 42 CFR 438.68, regarding network adequacy standards, will be met.  <input checked="" type="checkbox"/> The state assures that all applicable requirements of 42 CFR 438.206, regarding availability of services, will be met.  <input checked="" type="checkbox"/> The state assures that all applicable requirements of 42 CFR 438.207, regarding assurances of adequate capacity and services, will be met.  <input checked="" type="checkbox"/> The state assures that all applicable requirements of 42 CFR 438.208, regarding coordination and continuity of care, will be met.
1932(c)(1)(A)  42 CFR 438.330 42 CFR 438.340 1932(c)(2)(A)	<b>L.</b> <input checked="" type="checkbox"/> The state assures that all applicable requirements of 42 CFR 438.330 and 438.340, regarding a quality assessment and performance improvement program and state quality strategy, will be met.  <b>M.</b> <input checked="" type="checkbox"/> The state assures that all applicable requirements of 42 CFR 438.350, 438.354, and 438.364 regarding an annual external independent review conducted by a qualified independent entity, will be met.
42 CFR 438.350 42 CFR 438.354 42 CFR 438.364 1932 (a)(1)(A)(ii)	<b>N.</b> Selective Contracting Under a 1932 State Plan Option  To respond to items #1 and #2, place a check mark. The third item requires a brief narrative.  1. The state will <input checked="" type="checkbox"/> /will not <input type="checkbox"/> intentionally limit the number of entities it contracts under a 1932 State Plan option.

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**Citation**

**Condition or Requirement**

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2.  The state assures that if it limits the number of contracting entities, this limitation will not substantially impair beneficiary access to services.
3. Describe the criteria the state uses to limit the number of entities it contracts under a 1932 State Plan option. (*Example: a limited number of providers and/or enrollees.*)

*The state's process for adding new Managed Care Organizations (MCOs) for the Apple Health Managed Care program is as follows:*

- *The MCO that wishes to participate in Apple Health Managed Care may submit a letter of interest to the state along with all of the following documentation:*
  - *Certificate of registration from the Washington Office of the Insurance Commissioner (OIC) that allows the MCO to provide health care services under a risk-based contract*
  - *Acceptance of the terms and conditions of the Apple Health Managed Care contract*
  - *Proof of network adequacy in the service areas in which the MCO wishes to participate*
  - *Attestation that the MCO meets the quality standards for Apple Health Managed Care that have been established by the state for the currently participating Apple Health Managed Care MCOs*

*If the state determines that there is a need for an additional MCO in the proposed service areas, the state conducts an onsite readiness review of the applicant's operations, including:*

- *Customer service*
- *Grievance and appeal processes*
- *Subcontracting*
- *Quality and Performance Improvement (QAPI)*
- *Care coordination*

*Network adequacy is validated in a separate process, as is financial viability to provide these services.*

*If the applicant meets the contract standards reviewed at the readiness review, the state issues an Apple Health Managed Care contract.*

4.  The selective contracting provision in not applicable to this State Plan.



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**Appendix A: Compliance Dates (from Supplementary Information in 81 FR 27497, published 5/6/2016)**

**States must comply with all provisions in effect as of the issuance of this preprint. Additionally, the following compliance dates apply:**

Compliance Dates	Sections
<p>For rating periods for Medicaid managed care contracts beginning before July 1, 2017, states will not be held out of compliance with the changes adopted in the following sections so long as they comply with the corresponding standard(s) codified in 42 CFR part 438 contained in 42 CFR parts 430 to 481, edition revised as of October 1, 2015. <b>States must comply with these requirements no later than the rating period for Medicaid managed care contracts starting on or after July 1, 2017.</b></p>	<p>§§ 438.3(h), 438.3(m), 438.3(q) through (u), 438.4(b)(7), 438.4(b)(8), 438.5(b) through (f), 438.6(b)(3), 438.6(c) and (d), 438.7(b), 438.7(c)(1) and (2), 438.8, 438.9, 438.10, 438.14, 438.56(d)(2)(iv), 438.66(a) through (d), 438.70, 438.74, 438.110, 438.208, 438.210, 438.230, 438.242, 438.330, 438.332, 438.400, 438.402, 438.404, 438.406, 438.408, 438.410, 438.414, 438.416, 438.420, 438.424, 438.602(a), 438.602(c) through (h), 438.604, 438.606, 438.608(a), and 438.608(c) and (d)</p>
<p>For rating periods for Medicaid managed care contracts beginning before July 1, 2018, states will not be held out of compliance with the changes adopted in the following sections so long as they comply with the corresponding standard(s) codified in 42 CFR part 438 contained in the 42 CFR parts 430 to 481, edition revised as of October 1, 2015. <b>States must comply with these requirements no later than the rating period for Medicaid managed care contracts starting on or after July 1, 2018.</b></p>	<p>§§ 438.4(b)(3), 438.4(b)(4), 438.7(c)(3), 438.62, 438.68, 438.71, 438.206, 438.207, 438.602(b), 438.608(b), and 438.818</p>
<p><b>States must be in compliance with the requirements at § 438.4(b)(9) no later than the rating period for Medicaid managed care contracts starting on or after July 1, 2019.</b></p>	<p>§ 438.4(b)(9)</p>

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<b>States must be in compliance with the requirements at § 438.66(e) no later than the rating period for Medicaid managed care contracts starting on or after the date of the publication of CMS guidance.</b>	§ 438.66(e)
<b>States must be in compliance with § 438.334 no later than 3 years from the date of a final notice published in the Federal Register.</b>	§ 438.334
<b>Until July 1, 2018</b> , states will not be held out of compliance with the changes adopted in the following sections so long as they comply with the corresponding standard(s) codified in 42	§§ 438.340, 438.350, 438.354, 438.356, 438.358, 438.360, 438.362, and 438.364
<b>Compliance Dates</b>	<b>Sections</b>
CFR part 438 contained in the 42 CFR parts 430 to 481, edition revised as of October 1, 2015.	
States must begin conducting the EQR-related activity described in § 438.358(b)(1)(iv) (relating to the mandatory EQR-related activity of validation of network adequacy) <b>no later than one year from the issuance of the associated EQR protocol.</b>	§ 438.358(b)(1)(iv)
States may begin conducting the EQR-related activity described in § 438.358(c)(6) (relating to the optional EQR-related activity of plan rating) <b>no earlier than the issuance of the associated EQR protocol.</b>	§ 438.358(c)(6)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-0933. The time required to complete this information collection is estimated to average 10 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850

CMS-10120 (exp. TBD – currently 4/30/17)