

Washington Apple Health (Medicaid)

Orthodontic Services Billing Guide

January 1, 2020

Every effort has been made to ensure this guide's accuracy. If an actual or apparent conflict between this document and an agency rule arises, the agency rules apply.

About this guide*

This publication takes effect January 1, 2020, and supersedes earlier billing guides to this program.

The Health Care Authority (agency) is committed to providing equal access to our services. If you need an accommodation or require documents in another format, please call 1-800-562-3022. People, who have hearing or speech disabilities, please call 711 for relay services.

Services, equipment, or both, related to any of the programs listed below must be billed using their program-specific billing guides:

- [Access to baby and child dentistry \(ABCD\)](#)
- [Dental-related services](#)

Washington Apple Health means the public health insurance programs for eligible Washington residents. Washington Apple Health is the name used in Washington State for Medicaid, the children's health insurance program (CHIP), and state-only funded health care programs. Washington Apple Health is administered by the Washington State Health Care Authority.

Refer also to the agency's [ProviderOne billing and resource guide](#) for valuable information to help you conduct business with the agency.

* This publication is a billing instruction.

What has changed?

Subject	Change	Reason for Change
Cleft lip and palate, cleft palate, or cleft lip with alveolar process involvement	Removed section and combined with severe handicapping malocclusion section. Added EPA reference for cleft palate and craniofacial anomaly cases to existing handicapping malocclusion section. Added prior authorization is required if EPA criteria is not met.	Combining information for same CDT codes.
<u>Other orthodontic services</u>	Removed CDT code D8692. Added CDT codes D8703 replacement of lost or broken retainers - maxillary and D8704 replacement of lost or broken retainers - mandibular.	CDT handbook change effective for dates of service on and after January 1, 2020. Announced in a Provider Alert sent January 6, 2020.
<u>How do I complete and submit the Orthodontic Discontinuation of Service (HCA 13-0039) form?</u>	Added steps for filling out the Orthodontic Discontinuation of Service form.	Ease of filling out form to ensure accuracy of submission.
<u>EPA code list</u>	Remove language “for cleft palate” from description under CDT codes D8030, D8060, D8080, and D8020. Removed reimbursement details. Added clarification notes for provider type requirements, medical necessity documented in client’s record and EPA does not override limitations/requirements for treatment. Clarification notes added to CDT codes D8020, D8030, D8060 and D8080 in the EPA code list.	CDT book description does not specify cleft palate Redundant with language in the coverage table. Consistency of language for applicable codes in EPA list.

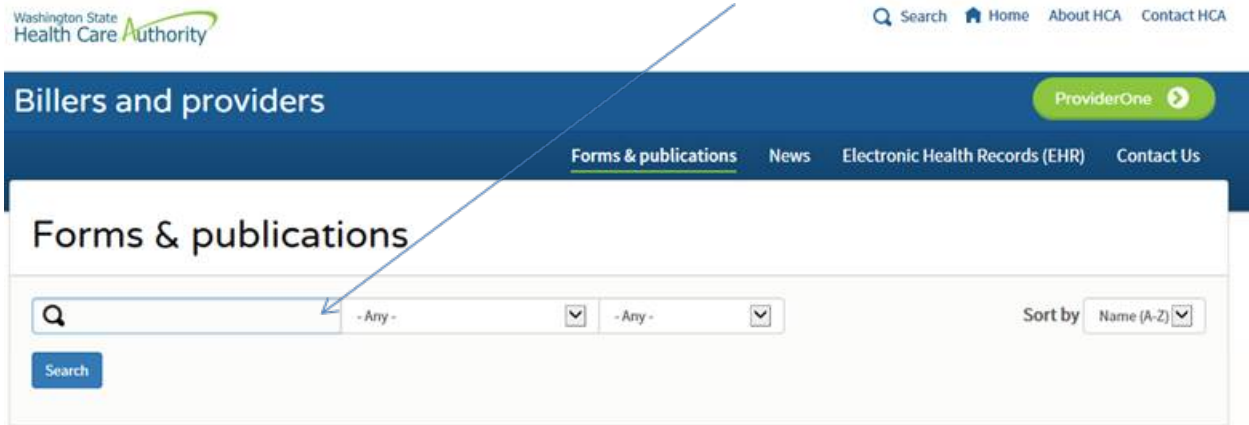
How can I get agency provider documents?

To access provider alerts, go to the agency's [provider alerts](#) webpage.

To access provider documents, go to the agency's [provider billing guides and fee schedules](#) webpage.

Where can I download agency forms?

To download an agency provider form, go to the agency's [Forms & publications](#) webpage. Type the agency form number into the **Search box** as shown below (Example: 13-835).



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Definitions

This section defines terms and abbreviations, including acronyms, used in this billing guide. Refer to [Chapter 182-500 WAC](#) for a complete list of definitions for Washington Apple Health. This list also uses definitions found in the current American Dental Association's Current Dental Terminology (CDT) and the current American Medical Association's Physician's Current Procedural Terminology (CPT®). **Where there is any discrepancy between this section and the current CDT or CPT, this section prevails.**

Adolescent dentition – The dentition that is present after the-loss of primary teeth and prior to cessation of growth that would affect orthodontic treatment.

Adult – For the general purposes of the agency's dental program, means a client age 21 and older.

Appliance placement – The application of orthodontic attachments to the teeth for the purpose of correcting dentofacial abnormalities. ([WAC 182-535A-0010](#))

Child – For the general purposes of the agency's dental program, means a client age 20 and younger.

Cleft – An opening or fissure involving the dentition and supporting structures, especially those occurring in utero. These can be:

1. Cleft lips
2. Cleft palates (involving the roof of the mouth)
3. Facial clefts (e.g., macrostomia).
([WAC 182-535A-0010](#))

Comprehensive orthodontic treatment – Using fixed orthodontic appliances for treatment of adolescent dentition leading to the improvement of a client's severe handicapping craniofacial dysfunction and/or dentofacial deformity, including

anatomical and functional relationships.
([WAC 182-535A-0010](#))

Craniofacial anomalies – Abnormalities of the head and face, either congenital or acquired, involving disruption of the dentition and supporting structures.
([WAC 182-535A-0010](#))

Craniofacial team – A cleft palate/maxillofacial team or an American Cleft Palate Association-certified craniofacial team. These teams are responsible for the management (review, evaluation, and approval) of patients with cleft palate craniofacial anomalies to provide integrated case management, promote parent-professional partnerships, and make appropriate referrals to implement and coordinate treatment plans.
([WAC 182-535A-0010](#))

Crossbite – An abnormal relationship of a tooth or teeth to the opposing tooth or teeth, in which normal buccolingual or labiolingual relations are reversed.

Dental dysplasia – An abnormality in the development of the teeth.
([WAC 182-535A-0010](#))

Ectopic eruption – A condition in which a tooth erupts in an abnormal position or is 50% blocked out of its normal alignment in the dental arch.

Early and periodic screening, diagnosis and treatment (EPSDT) – The agency’s early and periodic screening, diagnosis, and treatment program for client twenty years of age and younger as described in chapter [182-534](#) WAC.

Hemifacial microsomia – A developmental condition involving the first and second brachial arch. This creates an abnormality of the upper and lower jaw, ear, and associated structures (half or part of the face is smaller in size). ([WAC 182-535A-0010](#))

Interceptive orthodontic treatment – Procedures to lessen the severity or future effects of a malformation and to affect or eliminate the cause. Such treatment may occur in the primary or transitional dentition and may include such procedures as the redirection of ectopically erupting teeth, correction of isolated dental cross-bite, or recovery of recent minor space loss where overall space is adequate. ([WAC 182-535A-0010](#))

Limited orthodontic treatment – Orthodontic treatment with a limited objective, not involving the entire dentition. It may be directed only at the existing problem, or at only one aspect of a larger problem in which a decision is made to defer or forego more comprehensive therapy. ([WAC 182-535A-0010](#))

Malocclusion – The improper alignment of biting or chewing surfaces of upper and lower teeth or abnormal relationship of the upper and lower dental arch. ([WAC 182-535A-0010](#))

Maxillofacial – Relating to the jaws and face. ([WAC 182-535A-0010](#))

Occlusion – The relation of the upper and lower teeth when in functional contact during jaw movement. ([WAC 182-535A-0010](#))

Orthodontics – Treatment involving the use of any appliance, in or out of the mouth, removable or fixed, or any surgical procedure designed to redirect teeth and surrounding tissues. ([WAC 182-535A-0010](#))

Orthodontist – A dentist who specializes in orthodontics, who is a graduate of a postgraduate program in orthodontics that is accredited by the American Dental Association, and who meets the licensure requirements of the Department of Health. ([WAC 182-535A-0010](#))

Permanent dentition – Teeth that succeed the primary teeth and the additional molars that erupt.

Primary dentition – Teeth developed and erupted first in order of time, which are normally shed and replaced by permanent teeth.

Transitional dentition – The change from primary to permanent dentition in which the primary molars and canines are in the process of exfoliating and the permanent successors are emerging.

Client Eligibility

How can I verify a patient's eligibility?

([WAC 182-535A-0020](#), [WAC 182-501-0060](#))

The agency covers medically necessary orthodontic treatment and orthodontic-related services for severe handicapping malocclusions, craniofacial anomalies, or cleft lips or palates for clients age 20 and younger on a benefit package (BP) that covers such services.

Providers must verify that a patient has Washington Apple Health coverage for the date of service, and that the client's BP covers the applicable service. This helps prevent delivering a service the agency will not pay for. **Verifying eligibility is a two-step process:**

Step 1. Verify the patient's eligibility for Washington Apple Health. For detailed instructions on verifying a patient's eligibility for Washington Apple Health, see the *Client Eligibility, Benefit Packages, and Coverage Limits* section in the agency's current [ProviderOne billing and resource guide](#).

If the patient is eligible for Washington Apple Health, proceed to **Step 2**. If the patient is **not** eligible, see the note box below.

Step 2. Verify service coverage under the Washington Apple Health client's BP. To determine if the requested service is a covered benefit under the Washington Apple Health client's BP, see the agency's [Program benefit packages and scope of services](#) web page.

Note: Patients who wish to apply for Washington Apple Health can do so in one of the following ways:

1. By visiting the Washington Healthplanfinder's website at: www.wahealthplanfinder.org
2. By calling the Customer Support Center toll-free at: 855-WAFINDER (855-923-4633) or 855-627-9604 (TTY)
3. By mailing the application to:
Washington Healthplanfinder
PO Box 946
Olympia, WA 98507

In-person application assistance is also available. To get information about in-person application assistance available in their area, people may visit www.wahealthplanfinder.org or call the Customer Support Center.

Are clients transferring from non-Medicaid or out-of-state providers eligible for continued orthodontic treatment?

Eligible clients may receive the same orthodontic treatment and orthodontic-related services for continued orthodontic treatment when originally rendered by non-Medicaid or out-of-state providers as follows:

- The provider must submit the initial orthodontic case study and treatment plan records with the request for continued treatment.
- The agency evaluates the initial orthodontic case study and treatment plan to determine if the client met the agency's orthodontic criteria. See [When does the agency cover orthodontic treatment and related services?](#)
- The agency determines continued treatment duration based on the client's current orthodontic conditions.

The agency does not cover continued treatment if the client's initial condition did not meet the agency's criteria for the initial orthodontic treatment. The agency pays a deband and retainer fee if the client does not meet the initial orthodontic treatment criteria.

Are clients enrolled in an agency-contracted managed care organization eligible?

Yes. Washington Apple Health covers orthodontic and orthodontic-related services for eligible clients enrolled in an agency-contracted managed care organization (MCO). Bill the agency directly for all orthodontic and orthodontic-related services provided to eligible agency-contracted MCO clients.

Provider Requirements

Who may provide and be paid for orthodontic treatment and orthodontic-related services?

[\(WAC 182-535A-0030\)](#)

The following provider types may furnish and be paid for providing covered orthodontic treatment and orthodontic-related services to eligible Washington Apple Health clients:

- Orthodontists
- Pediatric dentists
- General dentists
- Agency-recognized craniofacial teams or other orthodontic specialists approved by the agency

Can substitute dentists (locum tenens) provide and bill for dental-related services?

[\(42 U.S.C. 1396a\(32\)\(C\)\)](#)

Yes. Dentists may bill under certain circumstances for services provided on a temporary basis (i.e., locum tenens) to their patients by another dentist.

The dentist's claim must identify the substituting dentist providing the temporary services. Complete the claim as follows:

- Enter the provider NPI and taxonomy of the locum tenens dentist who performed the substitute services in the *Servicing Provider* section of the electronic claim.
- The locum tenens dentist must enroll as an Apple Health provider in order to treat an Apple Health client and submit claims. For enrollment information, go to the [Enroll as a provider](#) webpage.
- Enter the billing provider information in the usual manner.

An informal reciprocal arrangement, billing for temporary services is limited to a period of 14 continuous days, with at least one day elapsing between 14-day periods.

A locum tenens arrangement involving per diem or other fee-for-time compensation, billing for temporary services is limited to a period of 90 continuous days, with at least 30 days elapsing between 90-day periods.

What are the requirements for out-of-state providers?

[\(WAC 182-535A-0060\(9\)\)](#)

Orthodontic providers who are in agency-designated bordering cities must meet the following criteria:

- The licensure requirements of their state.
- The same criteria for payment as in-state providers, including the [requirements to contract with the agency](#).

Coverage

When does the agency cover orthodontic treatment and related services?

[\(WAC 182-535A-0040\)](#)

The agency covers orthodontic treatment and orthodontic-related services, subject to prior authorization requirements and the limitation list within this billing guide, for clients with one of the following medical conditions:

- Cleft lip and palate, cleft palate, or cleft lip with alveolar process involvement.
- Other craniofacial anomalies including, but not limited to:
 - ✓ Hemifacial microsomia
 - ✓ Craniosynostosis syndromes
 - ✓ Cleidocranial dental dysplasia
 - ✓ Arthrogyrosis
 - ✓ Marfan syndrome
 - ✓ Treacher Collins syndrome
 - ✓ Ectodermal dysplasia
 - ✓ Achondroplasia

Treatment and follow-up care must be performed only by an agency-recognized craniofacial team or an orthodontic specialist who has been approved by the agency.

- Severe malocclusions with a Washington Modified Handicapping Labiolingual Deviation (HLD) Index Score of 25 or higher and has established caries and plaque control. (See [Where can I download agency forms?](#) to download the agency's *Orthodontic Information* (HCA 13-666) form, for scoring instructions.) The agency determines the final HLD Index Score based on documentation submitted by the provider.
- Dental malocclusions, other than those listed, on a case-by-case basis and when prior authorized.

What orthodontic treatment and related services does the agency cover?

[\(WAC 182-535A-0040\)](#)

The agency covers the following orthodontic treatments and related services for clients age 20 and younger, when considered medically necessary and with PA:

- Interceptive orthodontic treatment.
- Limited orthodontic treatment as follows:
 - ✓ The treatment includes up to 12 months of treatment from the date of the original appliance placement (See [Authorization](#) for information on limitation extensions).
 - ✓ The agency approves limited orthodontic treatment on transitional or adolescent dentition only.
 - ✓ The agency may approve a single impacted tooth for limited orthodontic treatment. When requesting PA for an impacted tooth, the agency requires the provider to submit a panoramic x-ray.
- Comprehensive orthodontic treatment as follows:
 - ✓ The treatment must be completed within 30 months of the date of the original appliance placement (See [Authorization](#) for information on limitation extensions).
 - ✓ The agency approves comprehensive orthodontic treatment on adolescent dentition only.
- Orthodontic appliance removal as a stand-alone service only when both of the following are true:
 - ✓ The client's appliance was placed by a different provider or dental clinic.
 - ✓ The provider removing the appliance has not furnished any other orthodontic treatment or orthodontic-related services to the client.
- On an individual basis, other orthodontic treatment and orthodontic-related services as determined medically necessary by the agency.

Treatment requirements

- If the provider anticipates the client will require orthognathic surgery, the orthodontic provider must submit a commitment letter from the oral surgeon with the prior authorization request for orthodontic treatment.
- The treatment must meet industry standards and correct the medical issue. If treatment is discontinued before completion, or treatment objectives were not obtained, clear documentation must be kept in the client's record explaining why treatment was not completed or why treatment goals were not achieved.

What orthodontic treatment and orthodontic-related services are not covered by the agency?

([WAC 182-535A-0040](#)(4) and (8))

The agency does not cover the following orthodontic treatment and related services:

- Orthodontic treatment provided after the client's 21st birthday (treatment provided after the client's 21st birthday is the financial responsibility of the client).
- Case studies that do not include a definitive treatment plan.
- Orthodontic treatment for cosmetic purposes.
- Orthodontic treatment that is not medically necessary, as defined in [WAC 182-500-0070](#).
- Orthodontic treatment provided out-of-state (except out-of-state bordering cities according to [WAC 182-501-0175](#)).

Exception: Providers in agency-designated bordering cities may be eligible for payment for services provided to agency clients. See [Provider Requirements](#) for information.

- Orthodontic treatment and related services that do not meet the requirements listed in this billing guide.

Note: The agency evaluates a request for orthodontic treatment and related services for the following:

- In excess of the limitations or restrictions listed in this section, according to [WAC 182-501-0169](#).
- Listed as noncovered according to [WAC 182-501-0160](#).

Under the Early Periodic Screening and Diagnostic Treatment (EPSDT) program, clients age 20 and younger may be eligible for orthodontic treatment and orthodontic-related services considered noncovered. The agency reviews requests for orthodontic treatment and orthodontic-related services for EPSDT clients, according to the provisions of [WAC 182-534-0100](#).

Coverage Table

General

Clinical evaluations

CDT® Code	Description	PA?	Limitations/ Requirements
D0160	Detailed and extensive oral evaluation – orthodontic only	No	Includes orthodontic oral examination, taking and processing clinical photographs, completing required form(s) and obtaining the agency’s authorization decision. Allowed once per client, per billing provider
D0170	Re-evaluation – limited, problem focused (established patient; not post-operative visit)	No	Not allowed in combination with periodic/limited/comprehensive oral evaluations. Allowed once per client, per billing provider, per year until appliances are placed

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X-rays (radiographs)

CDT® Code	Description	PA?	Limitations/ Requirements
D0330	Panoramic film – maxilla and mandible	No	<p>Included in case study. The agency covers panoramic films once every 3 years.</p> <p>*Additional films require prior authorization.</p> <p>Panoramic films are not required when submitting prior authorization requests for orthodontic services unless the request indicates that the client has an impacted tooth or teeth.</p>
D0340	Cephalometric film	Yes	<p>Included in case study. Additional films require prior authorization.</p> <p>Cephalometric films are not required when submitting prior authorization requests for orthodontic services unless the request indicates that the client has a negative overjet.</p>

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Other orthodontic services

CDT® Code	Description	PA?	Limitations/ Requirements
D8220	Fixed appliance therapy	Yes	Considered for a Thumb Crib
D8680	Appliance removal, construction and placement of retainers	EPA	See EPA #870001538 . Prior authorization is required if the EPA criteria is not met. Do not bill in conjunction with CDT code D8695.
D8703	Replacement of lost or broken retainers - maxillary	Yes	Initial retainer is included in initial payment.
D8704	Replacement of lost or broken retainers – mandibular	Yes	Initial retainer is included in initial payment.
D8695	Appliance removal	EPA	See EPA #870001538 Prior authorization is required if the EPA criteria is not met. Do not bill in conjunction with CDT code D8680.

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Severe handicapping malocclusion, cleft lip and palate, cleft palate, or cleft lip with alveolar process involvement

Note: You must correctly indicate the appliance date on all orthodontic treatment claims.

Clinical evaluations

CDT® Code	Description	PA?	Limitations/ Requirements
D8660	Pre-orthodontic visit	Y*	<p>Use this code for Orthodontist Case Study. Billable only by the treating orthodontic provider. Includes preparation of comprehensive diagnostic records (additional photos, study casts, cephalometric examination film, and panoramic film), formation of diagnosis and treatment plan from such records, and formal case conference.</p> <p>*See EPA #870000970 when billing cleft palate and craniofacial anomaly cases.</p> <p>Prior authorization is required if the EPA criteria is not met.</p>

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Limited orthodontic treatment

CDT® Code	Description	PA?	Limitations/ Requirements
D8020	Limited orthodontic treatment of transitional dentition	Y*	<p>This reimbursement is for the initial placement when the appliance placement date and the date of service are the same. Includes first three months of treatment and appliance(s).</p> <p>*See EPA #870001402 when billing cleft palate and craniofacial anomaly cases.</p> <p>Prior authorization is required if the EPA criteria is not met.</p>

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Orthodontic Services

D8020	Limited orthodontic treatment of transitional dentition	Y*	<p>This reimbursement is for each subsequent three-month period when the appliance placement date and the date of service are different.</p> <p>The agency reimburses a maximum of three follow-up visits.</p> <p>*See EPA #870001403 when billing for cleft palate and craniofacial anomaly cases.</p> <p>Prior authorization is required if the EPA criteria is not met.</p> <p>Note: To receive reimbursement for each subsequent three-month period:</p> <ul style="list-style-type: none"> • The provider must examine the client in the provider’s office at least once during the three-month period. * <i>However, the agency prefers that the client be seen every eight to ten weeks, or as medically necessary.</i> • Continuing treatment must be billed after each three-month interval. • Document the actual service dates in the client’s record.
D8030	Limited orthodontic treatment of the adolescent dentition	Y*	<p>This reimbursement is for the initial placement when the appliance placement date and the date of service are the same. Includes first three months of treatment and appliance(s).</p> <p>*See EPA #870000970 when billing cleft palate and craniofacial anomaly cases.</p> <p>Prior authorization is required if the EPA criteria is not met.</p>

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Orthodontic Services

CDT® Code	Description	PA?	Limitations/ Requirements
D8030	Limited orthodontic treatment of the adolescent dentition	Y*	<p>This reimbursement is for each subsequent three-month period when the appliance placement date and the date of service are different.</p> <p>The agency reimburses a maximum of three follow-up visits.</p> <p>*See EPA #870000970 when billing for cleft palate and craniofacial anomaly cases.</p> <p>Prior authorization is required if the EPA criteria is not met.</p>
			<p>Note: To receive reimbursement for each subsequent three-month period:</p> <ul style="list-style-type: none"> • The provider must examine the client in the provider’s office at least once during the three-month period. <i>* However, the agency prefers that the client be seen every eight to ten weeks, or as medically necessary.</i> • Continuing treatment must be billed after each three-month interval. • Document the actual service dates in the client’s record.

Interceptive orthodontics

CDT® Code	Description	PA?	Limitations/ Requirements
D8060	Interceptive orthodontic treatment of the transitional dentition	Y*	<p>The maximum allowance includes all professional fees, laboratory costs, and required follow-up.</p> <p>*See EPA #870000980 when billing for cleft palate and craniofacial anomaly cases.</p> <p>Prior authorization is required if the EPA criteria is not met.</p>

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Comprehensive orthodontic treatment

CDT® Code	Description	PA?	Limitations/ Requirements
D8080	Comprehensive orthodontic treatment of the adolescent dentition	Y*	<p>This reimbursement is for the initial placement when the appliance placement date and the date of service are the same. Includes first six months of treatment and appliances.</p> <p>*See EPA #870000990 when billing for cleft palate and craniofacial anomaly cases.</p> <p>Prior authorization is required if the EPA criteria is not met.</p>
D8080	Comprehensive orthodontic treatment of the adolescent dentition	Y*	<p>This reimbursement is for each subsequent three-month period when the appliance placement date and the date of service are different. The agency reimburses a maximum of eight follow-up visits.</p> <p>*See EPA #870000990 when billing for cleft palate and craniofacial anomaly cases.</p> <p>Prior authorization is required if the EPA criteria is not met.</p> <p>Note: To receive reimbursement for each subsequent three-month period:</p> <ul style="list-style-type: none"> • The provider must examine the client in the provider’s office at least once during the three-month period. * <i>However, the agency prefers that the client be seen every eight to ten weeks, or as medically necessary.</i> • Continuing treatment must be billed after each three-month interval, with the first three-month interval beginning six months after the initial appliance placement. • Document the actual service dates in the client’s record.

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Orthognathic surgery

CPT® Code	Description	PA?	Limitations/ Requirements
21077, 21079, 21080, 21081, 21082, 21083, 21084, 21085, 21086, 21087, 21088, 21089	Prepare face/oral prosthesis	EPA	See EPA #870001539 when billing for orthognathic surgery. Prior authorization is required if the EPA criteria is not met.
21141, 21142, 21143, 21145, 21146, 21147, 21150, 21151, 21154, 21155, 21159, 21160	Reconstruct midface, lefort		
21193, 21194, 21195, 21196, 21198	Reconstruct lower jaw		

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Authorization

Prior authorization (PA) and expedited prior authorization (EPA) numbers do not override the client's eligibility or program limitations. Not all categories of eligibility receive all services.

What orthodontic treatment and orthodontic-related services require authorization?

[\(WAC 182-535A-0050\)](#)

All orthodontic treatment and orthodontic-related services require either prior authorization or expedited prior authorization.

General information about authorization

- For covered orthodontic-related services that require PA, the agency uses the payment determination process described in [WAC 182-501-0165](#).
- Authorization of an orthodontic-related service only indicates that the service is medically necessary. Authorization does not guarantee payment.

When do I need to get prior authorization?

If prior authorization is required, it must be received from the agency **before** the service is provided.

Authorization is based on the establishment of medical necessity as determined by the agency on a case-by-case basis.

How do I request prior authorization?

Providers may submit a prior authorization request by direct data entry into ProviderOne or by submitting the request in writing (See the agency's [prior authorization webpage](#) for details).

Include all the following with the PA request:

- ***Orthodontic Information (HCA 13-666) form, with the following information:***
 - ✓ Client's name and date of birth
 - ✓ Client's ProviderOne client ID
 - ✓ Provider's name and address
 - ✓ Provider's telephone number (including area code)
 - ✓ Provider's unique National Provider Identifier (NPI)
 - ✓ Physiological description of the disease, injury, impairment, or other ailment
 - ✓ Proposed treatment
- Most recent and relevant x-rays (radiograph) as required. They must include the client name, date of birth, and date the x-rays (radiograph) were taken. **Radiographs should be duplicates as originals are to be maintained in the client's chart**
- Diagnostic color photographs that includes client name, date of birth, provider name, and date the photographs were taken.
- A commitment letter from an oral surgeon when the request indicates that orthognathic surgery may be needed.

Note: The agency requires an orthodontic provider who is requesting PA to submit sufficient, objective, clinical information to establish medical necessity.

Note: Every photograph and x-ray (radiograph) must include client information

Orthognathic surgery requests must be submitted with the following documents:

- Treatment plan including expected surgical intervention CPT codes
- Cephalometric radiographs (x-rays)
- Color photographs (including five intraoral and three facial views)

Note: Prior authorization (PA) is required only if EPA criteria are not met. PA requests for orthognathic surgery are required at least 12 months after the start of active orthodontic treatment. The agency will reject PA requests for orthognathic surgery submitted before the completion of 12 months of active orthodontic treatment.

Note: For information on billing CPT codes for oral surgery, refer to the agency's current [Physician-related services/health care professional billing guide](#). The agency pays oral surgeons for only those CPT codes listed in the [Dental fee schedule](#) under Dental CPT Codes.

The agency may request the following additional information:

- **Additional x-rays (radiographs)** – The agency returns x-rays only for approved requests and if accompanied by self-addressed stamped envelope.
- **Study models** – Study models must be trimmed to sit on their backs in centric occlusion. Pack them carefully for shipping. Do not send study models with a wax bite between the models.
- Second opinions and/or consultations
- Any other information requested by the agency

Medical justification

1. All information pertaining to medical necessity must come from the client's prescribing orthodontist. Information obtained from the client or someone on behalf of the client (e.g., family) will not be accepted.
2. Measurement, counting, recording, or consideration for treatment is performed only on teeth that have erupted and can be seen on the diagnostic study models. All measurements are made or judged on the basis equal to or greater than the minimum requirement.
3. Only permanent natural teeth will be considered for comprehensive orthodontic treatment of severe malocclusions.
4. A single impacted tooth alone is not considered a severe handicapping malocclusion.
5. Use either of the upper central incisors when measuring overjet, overbite (including reverse overbite), mandibular protrusion, and open bite. The upper lateral incisors or upper canines may not be used for these measurements.
6. All photographs and x-rays (radiographs) must be current (taken within the last 12 months) and include the client's name, date of birth, and provider name.

Note: Every photograph and x-ray (radiograph) must include client information

What if treatment is discontinued prior to completion?

[\(WAC 182-535A-0040\(7\)\)](#)

Orthodontic treatment must meet industry standards and correct the medical issue. If treatment is discontinued prior to completion, or treatment objectives are not achieved, the provider must:

- Keep clear documentation in the client's record explaining why treatment was discontinued or not completed, or explain why treatment goals were not achieved.
- Submit the *Orthodontic Discontinuation of Service* form, (HCA 13-0039) to the agency. See [Where can I download agency forms?](#)
- If an extension of treatment is required, the *Orthodontic Discontinuation of Service* form should not be submitted. See the [What is a limitation extension \(LE\)](#) section of this guide.
- Reasons for discontinued services may be:
 - Client moves
 - Client transfers care to another provider
 - Client is non-compliant
 - Poor oral hygiene

How do I complete and submit the Discontinuation of Treatment (HCA 13-0039) form?

- Step 1: Enter client information (name and client ID number)
- Step 2: Enter the prior authorization number that was approved for orthodontic treatment
- Step 3: Enter the appliances placement date
- Step 4: Enter the deband date
- Step 5: Enter the last date of service for the client
- Step 6: Enter the reason treatment was discontinued prior to utilizing all approved units
- Step 7: Have the client's parent/guardian sign the form
- Step 8: Provider signature
- Step 9: Submit the form using the [PA Pend Form Submission Cover Sheet](#) (Barcode) for submitting additional information to an existing prior authorization request

For more information on submitting additional information to an existing prior authorization request, see Requesting Prior Authorization in the agency's [ProviderOne billing and resource guide](#) or the [agency's prior authorization webpage](#).

How do I submit a PA request?

For information on submitting prior authorization requests to the agency, see Requesting Prior Authorization in the agency's [ProviderOne billing and resource guide](#) or the [agency's prior authorization webpage](#).

How to submit a PA request, without x-rays (radiographs) or photos: For procedures that do not require x-rays (radiographs) or photos, submit by direct data entry (DDE) in the ProviderOne portal or fax the PA request to the agency at: (866) 668-1214.

How to submit a PA request, with x-rays (radiographs) or photos: Pick **one** of following options for submitting x-rays (radiographs) or photos to the agency:

- Submit request through ProviderOne by direct data entry and attach **x-rays (radiographs) or photos** to the PA request.
- Use the FastLook™ and FastAttach™ services provided by National Electronic Attachment, Inc. (NEA). You may register with NEA by visiting www.nea-fast.com and entering "FastWDSHS" in the blue promotion code box. Contact NEA at 1-800-782-5150, ext. 2, with any questions.

When choosing this option, you can fax your request to the agency and indicate the NEA# in the NEA field on the PA Request Form or in the comments if submitting request through Direct Data Entry. *There is a cost associated which will be explained by the NEA services.*

- Mail your request to:
Authorization Services Office
PO Box 45535
Olympia, WA 98504-5535

If you choose to mail your requests, the agency requires you to:

- Place x-rays (radiographs) or photographs in a large envelope.
 - ✓ Attach the PA request form and any other additional pages to the envelope (i.e. tooth chart, periodontal charting, etc.).
 - ✓ Put the client's name and ProviderOne ID# on the envelope.

Note: For orthodontics, write "orthodontics" on the envelope.

- ✓ Place all materials in a larger envelope for mailing. You may mail multiple requests together.

Note: If study models are requested, include the client information and ID on **the models**. When mailing, indicate the provider's name, authorization reference #, and the word "orthodontics" on **the box**.

Expedited Prior Authorization (EPA)

What is expedited prior authorization (EPA)?

The expedited prior authorization (EPA) process is designed to eliminate the need for prior authorization for selected dental procedure codes.

To use an EPA:

- Enter the EPA number on the claim form when billing the agency.
- When requested, provide documentation showing the client's condition meets **all** the EPA criteria.
- Prior authorization is required when a situation does not meet **all** the EPA criteria for selected dental procedure codes. See the agency's [prior authorization webpage](#) for details.

It is the provider's responsibility to determine if a client has already received the service allowed with the EPA criteria. If the client already received the service, a prior authorization request is required to provide the service again or to provide additional services.

Note: By entering an EPA number on your claim, you attest that **all** the EPA criteria are met and can be verified by documentation in the client's record. These services are subject to post payment review and audit by the agency or its designee.

The agency may recoup any payment made to a provider if the provider did not follow the required EPA process and if not all of the specified criteria were met.

When do I need to bill with an EPA number?

Orthodontic services that require expedited prior authorization (EPA) as listed in the [Coverage Table](#) must list the assigned EPA number on the claim. By placing the appropriate EPA number on the claim, providers verify that the bill is for a cleft palate or craniofacial anomaly case.

Note: Only use the unique EPA number when indicated in the [Coverage Table](#).

Note: See the agency's [ProviderOne billing and resource guide](#) or the agency's [prior authorization webpage](#) for more information on requesting authorization.

EPA code list

Note: Prior authorization is required for all EPA numbers if the EPA criteria is not met.

EPA #	CDT® Code	Description	Criteria
870000970	D8660	Pre-orthodontic treatment visit	<p>Use when billing for cleft palate and craniofacial anomaly cases.</p> <p>Treating provider must be an orthodontist and either be a member of a recognized craniofacial team or approved by the agency’s Dental Consultant to provide this service.</p> <p>Medically necessary ICD diagnosis codes associated with cleft palate, cleft uvula or cleft lip must be documented in the client’s record. ICD diagnosis codes associated with craniofacial anomalies per WAC 182-535A-0040 need to be documented to use EPA.</p>
870000970	D8030	Limited orthodontic treatment of the adolescent dentition	<p>Use when billing for cleft palate and craniofacial anomaly cases.</p> <p>Treating provider must be an orthodontist and be either a member of a recognized craniofacial team or approved by the agency’s Dental Consultant to provide this service.</p> <p>Medically necessary ICD diagnosis codes associated with cleft palate, cleft uvula or cleft lip must be documented in the client’s record. ICD diagnosis codes associated with craniofacial anomalies per WAC 182-535A-0040 need to be documented to use EPA.</p> <p>Limitations apply. EPA does not override the limitations/requirements for limited treatment. See limited orthodontic treatment.</p>

Orthodontic Services

EPA #	CDT® Code	Description	Criteria
870000970	D8030	Limited orthodontic treatment of the adolescent dentition	<p>Use when billing for cleft palate and craniofacial anomaly cases.</p> <p>Treating provider must be an orthodontist and either be a member of a recognized craniofacial team or approved by the agency’s Dental Consultant to provide this service.</p> <p>Medically necessary ICD diagnosis codes associated with cleft palate, cleft uvula or cleft lip must be documented in the client’s record. ICD diagnosis codes associated with craniofacial anomalies per WAC 182-535A-0040 need to be documented to use EPA.</p> <p>Limitations apply. EPA does not override the limitations/requirements for limited treatment. See limited orthodontic treatment.</p>
870000980	D8060	Interceptive orthodontic treatment of the transitional dentition	<p>Use when billing for cleft palate and craniofacial anomaly cases.</p> <p>Treating provide must be an orthodontist and either be a member of a recognized craniofacial team or approved by the agency’s Dental Consultant to provide this service.</p> <p>Medically necessary ICD diagnosis codes associated with cleft palate, cleft uvula or cleft lip must be documented in the client’s record. ICD diagnosis codes associated with craniofacial anomalies per WAC 182-535A-0040 need to be documented to use EPA.</p> <p>Limitations apply. EPA does not override the limitations/requirements for interceptive treatment. See interceptive orthodontics.</p>

Orthodontic Services

EPA #	CDT® Code	Description	Criteria
870000990	D8080	Comprehensive orthodontic treatment of the adolescent dentition	<p>Use when billing for cleft palate and craniofacial anomaly cases.</p> <p>Treating provider must be an orthodontist and be either a member of a recognized craniofacial team or approved by the agency’s Dental Consultant to provide this service.</p> <p>Medically necessary ICD diagnosis codes associated with cleft palate, cleft uvula or cleft lip must be documented in the client’s record. ICD diagnosis codes associated with craniofacial anomalies per WAC 182-535A-0040 need to be documented to use EPA.</p> <p>Limitations apply. EPA does not override the limitations/requirements for comprehensive treatment. See comprehensive orthodontic treatment.</p>
870000990	D8080	Comprehensive orthodontic treatment of the adolescent dentition	<p>Use when billing for cleft palate and craniofacial anomaly cases.</p> <p>Treating provider must be an orthodontist and be either a member of a recognized craniofacial team or approved by the agency’s Dental Consultant to provide this service.</p> <p>Medically necessary ICD diagnosis codes associated with cleft palate, cleft uvula or cleft lip must be documented in the client’s record. ICD diagnosis codes associated with craniofacial anomalies per WAC 182-535A-0040 need to be documented to use EPA.</p> <p>Limitations apply. EPA does not override the limitations/requirements for comprehensive treatment. See comprehensive orthodontic treatment.</p>

Orthodontic Services

EPA #	CDT® Code	Description	Criteria
870001402	D8020	Limited orthodontic treatment of the transitional dentition	<p>Use when billing for cleft palate and craniofacial anomaly cases.</p> <p>Treating provider must be an orthodontist and either be a member of a recognized craniofacial team or approved by the agency’s Dental Consultant to provide this service.</p> <p>Medically necessary ICD diagnosis codes associated with cleft palate, cleft uvula or cleft lip must be documented in the client’s record. ICD diagnosis codes associated with craniofacial anomalies per WAC 182-535A-0040 need to be documented to use EPA.</p> <p>Limitations apply. EPA does not override the limitations/requirements for limited treatment. See limited orthodontic treatment.</p>
870001403	D8020	Limited orthodontic treatment of the transitional dentition	<p>Use when billing for cleft palate and craniofacial anomaly cases.</p> <p>Treating provider must be an orthodontist and either be a member of a recognized craniofacial team or approved by the agency’s Dental Consultant to provide this service.</p> <p>Medically necessary ICD diagnosis codes associated with cleft palate, cleft uvula or cleft lip must be documented in the client’s record. ICD diagnosis codes associated with craniofacial anomalies per WAC 182-535A-0040 need to be documented to use EPA.</p> <p>Limitations apply. EPA does not override the limitations/requirements for limited treatment. See limited orthodontic treatment.</p>

Orthodontic Services

EPA #	CDT® Code	Description	Criteria
870001538	D8680	Appliance removal, construction and placement of retainers	<p>The client's appliance was placed by a different provider or dental clinic, an out-of-state provider, or a non-medicaid provider.</p> <p>Fee includes debanding, removal of cement, and retainers.</p>
870001538	D8695	Appliance removal	<p>The client's appliance was placed by a different provider or dental clinic, an out-of-state provider, or a non-medicaid provider.</p> <p>Fee includes debanding and removal of cement.</p>

Orthodontic Services

EPA #	CDT® Code	Description	Criteria
870001539	21077, 21079, 21080, 21081, 21082, 21083, 21084, 21085, 21086, 21087, 21088, 21089	Prepare face/oral prosthesis	<p>Use when billing for orthognathic surgery.</p> <p>There must be an approval in the system for full comprehensive orthodontic treatment for the client, plus all of the following in the client’s record:</p> <ul style="list-style-type: none"> • A treatment plan, including expected surgical intervention Current Procedural Terminology (CPT) codes. • Documentation that the commitment letter from the surgeon was included in the request for full comprehensive orthodontic treatment. • Cephalometric radiographs (x-rays). • Color photographs (including five intraoral and three facial views).
	21141, 21142, 21143, 21145, 21146, 21147, 21150, 21151, 21154, 21155, 21159, 21160	Reconstruct midface, lefort	
	21193, 21194, 21195, 21196, 21198, 21199	Reconstruct lower jaw	

CPT® codes and descriptions only are copyright 2019 American Medical Association

What is a limitation extension (LE)?

A limitation extension (LE) is an authorization of services beyond the designated benefit limit allowed in Washington Administration Code (WAC) and the agency's Washington Apple Health billing guides.

Note: A request for a limitation extension must be appropriate to the client's eligibility or program limitations. Not all eligibility groups cover all services.

The agency evaluates a request for dental-related services that are in excess of the Dental Program's limitations or restrictions, according to [WAC 182-501-0169](#).

How do I request an LE?

The agency requires a dental provider who is requesting an LE to submit sufficient, objective, clinical information to establish medical necessity.

Providers may submit a prior authorization request by direct data entry into ProviderOne or by submitting the request in writing (See the agency's [prior authorization webpage](#) for details).

The agency may request additional information as follows:

- Additional x-rays (radiographs). The agency returns x-rays only for approved requests and only if accompanied by self-addressed stamped envelope.
- Study model, if requested.
- Photographs.
- Any other information requested by the agency.

Note: The agency may require second opinions and consultations before authorizing any procedure.

What is an exception to rule (ETR)?

An exception to rule (ETR) is when a client or the client's provider requests the agency to pay for a noncovered service. The agency reviews these requests according to [WAC 182-501-0160](#).

How do I request an ETR?

A noncovered service must be requested through an exception to rule (ETR).

To request an ETR, providers may submit a prior authorization request by direct data entry into ProviderOne or by submitting the request in writing (See the agency's [prior authorization webpage](#) for details).

Indicate in the comments box that you are requesting an ETR.

Be sure to provide all of the evidence required by [WAC 182-501-0160](#).

Orthodontic Information Form

When do I need to complete the Orthodontic Information (HCA 13-666) form?

Any time orthodontic services are requested for a client, you must complete the *Orthodontic Information* (HCA 13-666) form. See [Where can I download agency forms?](#)

Note: The agency updated the *Orthodontic Information* (HCA 13-666) form on July 1, 2018.

This format follows requirements of the American Board of Orthodontics and the Orthodontic.

How do I complete and submit the Orthodontic Information (HCA 13-666) form?

(To be completed by the performing orthodontist or dentist. Otherwise, your requests will be returned unprocessed. Use blue or black ink **and a highlighter.)**

Applying for authorization to provide orthodontic services is a two-step process.

Step 1. Complete the *Orthodontic Information* (HCA 13-666) form

- a) Fill in the provider information and patient information sections at the top of the form.
- b) In Part 1, fill in the information requested in each area that applies to the treatment being provided or enter N/A.

Note: Impacted tooth or teeth requires a recent panoramic x-ray.

- c) In Part 2, place an “X” for each condition that applies and provide the required justification

Note: If the following conditions apply, provide the indicated supporting documentation:

- Overjet greater than 9mm requires a color photograph using either a probe or ruler to demonstrate this condition.
- Reverse overjet greater than 3.5mm requires a color photograph using either a probe or ruler to demonstrate this condition.
- Negative overjet requires a recent cephalometric x-ray to confirm the condition.
- Deep impinging overbite with soft tissue destruction requires photographic evidence to confirm condition.

- d) In Part 3, calculate the Handicapping Labiolingual Deviation (HLD) index using the provided instructions.

If the client has mandibular protrusion, provide the indicated supporting documentation (i.e., cephalometric x-ray or periodontal probe that is seated in millimeters).

- e) Sign and date the form.

Step 2. Submit the following full set of eight dental color photographs to the agency:

- a) **Intraoral dental photographs:**
- 1) Front view (teeth in centric occlusion)
 - 2) Right lateral (teeth in centric occlusion)
 - 3) Left lateral (teeth in centric occlusion)
 - 4) Upper occlusal view (taken using a mirror/retractor to include second molars)
 - 5) Lower occlusal view (taken using a mirror/retractor to include second molars)

- b) **Extraoral dental photographs:**
- 1) Front, full-face view
 - 2) Front, full-face smiling
 - 3) Profile, full-face view, facing to the right

Note: All photos submitted must be size 8.5 X 11.5-inch on a single page template. Position the photos on the template as follows:

Top row: facial views

Middle row: occlusal views, client name, date of birth, date photo was taken, and provider name

Bottom row: right lateral closed, left lateral closed, center closed

To match the orientation of the occlusal views to the left and right side of the client's face:

- Photos of the right-side view should be on the left of the sheet.
- Photos of the left-side view should be on the right side of the sheet.

This format follows requirements of the American Board of Orthodontics and the Orthodontic departments at the universities of Oregon and Washington.

Mail the materials, with the client's ProviderOne Client ID number and name, to:

**Health Care Authority
PO Box 45535
Olympia, WA 98504-5535**

Note: Always include the authorization number in the appropriate field on the electronic claim when submitting a claim.

Orthodontic information review

The agency's orthodontic consultant will review the photos and all of the information submitted for each case. The agency's decision will be delivered through ProviderOne-generated correspondence.

What if my request for client services is denied?

If your request for orthodontic treatment is not approved based on your initial submission, submit only the information requested by the agency for re-evaluation. Such information may include:

- The claim for the full case study attached to the *Orthodontic Information* (HCA 13-666) form. See [Where can I download agency forms?](#)
- Appropriate x-rays (radiograph) (e.g., panoramic and cephalometric radiographs).
- Diagnostic color photographs (eight).
- A separate letter with any additional medical information if it will contribute information that may affect the agency's final decision.
- Study models (do not send study models unless they are requested).
- Other information if requested.

Payment

The agency considers that a provider who furnishes covered orthodontic treatment and orthodontic-related services to an eligible client has accepted the agency's fees as published in the agency's fee schedules ([WAC 182-535A-0060\(2\)](#)).

The agency requires a provider to deliver services and procedures that are of acceptable quality to the agency. The agency may recoup payment for services that are determined to be below the standard of care or of an unacceptable product quality ([WAC 182-535A-0060\(3\)](#)).

How does the agency pay for interceptive orthodontic treatment?

([WAC 182-535A-0060 \(4\)](#))

The agency pays for interceptive orthodontic treatment on primary or transitional dentition in one payment that includes all professional fees, laboratory costs, and required follow-up.

How does the agency pay for limited orthodontic treatment?

([WAC 182-535A-0060 \(5\)](#))

The agency pays for limited orthodontic treatment as follows:

- The first three months of treatment starts on the date the initial appliance is placed and includes active treatment for the first three months. The provider must bill the agency with the date of service that the initial appliance is placed.
- The agency's initial payment includes replacement of brackets and lost or broken orthodontic appliances, appliance removal, initial retainer fees, and final records (photos, panoramic x-rays (radiographs), cephalometric films, and final trimmed study models).

Note: If a replacement retainer is required, prior authorization is required. When requesting prior authorization, include the date of the debanding in box 30 of the *General Authorization* form (HCA 13-835). See [Where can I download agency forms?](#)

- Follow-up treatment must be billed after each three-month treatment interval.
- Treatment must be completed within twelve months of the date of appliance placement. Treatment provided after one year from the date the appliance is placed requires a limitation extension (LE). The agency evaluates a request for orthodontic treatment and orthodontic-related services that are in excess of the limitations or restrictions listed within this billing guide, according to [WAC 182-501-0169](#).

Orthodontic treatment must meet industry standards and correct the medical issue. If treatment is discontinued prior to completion, or treatment objectives are not achieved, the provider must:

- Keep clear documentation in the client's record explaining why treatment was discontinued or not completed, or explain why treatment goals were not achieved.
- Submit the *Orthodontic Discontinuation of Service* form, (HCA 13-0039) to the agency. See [Where can I download agency forms?](#)
- Use the [PA Pend Form Submission Cover Sheet \(Barcode\)](#) for submitting additional information to an existing prior authorization request.

How does the agency pay for comprehensive orthodontic treatment?

[\(WAC 182-535A-0060 \(6\)\)](#)

The agency pays for comprehensive orthodontic treatment as follows:

- The first six months of treatment starts on the date the initial appliance is placed and includes active treatment for the first six months. The provider must bill the agency with the date of service that the initial appliance is placed.
- The agency's initial payment includes replacement of brackets and lost or broken orthodontic appliances, appliance removal, initial fees and final records (photos, panoramic x-rays (radiographs), cephalometric films, and final trimmed study models).

Note: If a replacement retainer is required, prior authorization is required. When requesting prior authorization, include the date of the debanding in box 30 of the *General Authorization* form (HCA 13-835).

- Continuing follow-up treatment must be billed after each three-month treatment interval, with the first three-month interval beginning six months after the initial appliance placement.

Orthodontic Services

- Treatment provided after thirty months from the date the appliance is placed requires a limitation extension. The agency evaluates a request for orthodontic treatment and orthodontic-related services that are in excess of the limitations or restrictions listed within this billing guide, according to [WAC 182-501-0169](#).

Orthodontic treatment must meet industry standards and correct the medical issue. If treatment is discontinued prior to completion, or treatment objectives are not achieved, the provider must:

- Keep clear documentation in the client's record explaining why treatment was discontinued or not completed, or explain why treatment goals were not achieved.
- Submit the *Orthodontic Discontinuation of Service* form, (HCA 13-0039) to the agency. See [Where can I download agency forms?](#)

Use the [PA Pend Form Submission Cover Sheet](#) (*Barcode*) for submitting additional information to an existing prior authorization request.

Billing

All claims must be submitted electronically to the agency, except under limited circumstances.
For more information about this policy change, see [Paperless billing at HCA](#).
For providers approved to bill paper claims, see the agency's [Paper claim billing resource](#).

What are the general billing requirements?

Providers must follow the agency's [ProviderOne billing and resource guide](#). These billing requirements include, but are not limited to:

- Time limits for submitting and resubmitting claims and adjustments.
- What fee to bill the agency for eligible clients.
- When providers may bill a client.
- Billing for services provided to primary care case management (PCCM) clients.
- Billing for clients eligible for both Medicare and Washington Apple Health (Medicaid).
- Third-party liability.
- Record-keeping requirements.

Does the agency pay for orthodontic treatment beyond the client's eligibility period?

[\(WAC 182-535A-0060\)](#) (9), (11), and (12))

No. If the client's eligibility for orthodontic treatment (See [Client Eligibility](#)) ends before the conclusion of the orthodontic treatment, payment for any remaining treatment is the individual's responsibility. The agency does not pay for these services.

The client is responsible for payment of any orthodontic service or treatment received during any period of ineligibility, even if the treatment was started when the client was eligible. The agency does not pay for these services.

The agency will pro-rate payment for the timeframe a client was eligible for orthodontic services if the client becomes ineligible during the three-month treatment sequence.

Note: When billing for a reduced follow-up period due to client ineligibility, use the last day of the final month the client is eligible as the date of service on the claim. Enter the following comment in the claim notes:

Billing period [MONTH-MONTH], eligibility ended [MONTH/DAY], pro-rate for [MONTH(S)].

Example: “Billing period Jan-Mar, eligibility ended 2/28, pro-rate for Jan-Feb.”

Refer to [WAC 182-502-0160](#) for the agency’s rules on billing a client when a provider or a client is responsible for paying a covered service.

Where can I find the fee schedule for orthodontic treatment and related services?

See the agency’s [Dental program fee schedule](#).

Payment for orthodontic treatment and orthodontic-related services is based on the agency’s published fee schedule. The maximum allowable cost includes all professional fees, laboratory costs, and required follow-up. ([WAC 182-535A-0060](#))