

Change Summary

Carriers Data Submission Guide v3.0 and v4.0

The changes between version 3.0 and 4.0 of the carriers' data submission guides (DSG) are indicated in red in the table below.

Type of change	Template	Version 3.0 (Current)	Version 4.0
Update Definition:		"Current year" means the calendar year 2021.	"Current year" means the calendar year 2022.
Current year Update Definition:		"Prior year" means calendar year 2020.	"Prior year" means calendar year 2021.
Prior year How to Register		How to Register	How to Register and Submit
Resubmissions		Failed Technical or Program Validations	Failed Program Validations
Correcting Previously Approved Submissions		In the event that you find an error in you approved submission, you will need to fill out the Resubmission form which can be found on our website prior to resubmitting your report.	In the event that you find an error in you approved submission, you will need to fill out the Resubmission form which can be found on our on our portal prior to resubmitting your report.
Update: Table Specifications	Cost Utilization	File naming schema: carrier_cost_utilization_{YYYY}_{ID}_{YY YYMMDD}.csv Example: carrier_cost_utilization_2021_C12345_2 0212001.csv (Please use the submission due date not the date the report was prepared) The submission of this report for this reporting year is due on December 1, 2022, and should include data effective for 2021.	 File naming schema: carrier_cost_utilization_{YYYY}_{ID}_{YYYYMMDD}.csv Example: carrier_cost_utilization_2022_C12345_20231001.csv Please use the submission due date not the date the report was prepared for YYYYMMDD. The submission of this report for this reporting year is due on October 1, 2023, and should include data effective for 2022.
Update Field: Washington DPT Number		Name: Washington DPT Number Type: String Max Length: 6 characters Format: ABCDE	Name: Washington DPT Number Type: String Max Length: 6 characters Format: ABCDEF
Update Field: Year		Name: Year Type: Numeric Format: 9999 Max Length: 4 digits	Name: Year Type: Numeric Format: 9999 Max Length: 4 digits



	Min Length: 4 digits	Min Length: 4	digits				
	Rule: 2021	Rule: 2022					
	Nule: 2021	Hule: 2022					
Update Field:	Name: Member-Months	Name: Memb	er-Months				
	Type: Numeric	Type: Numeric					
Member-	Format: 99999999	Format: 99999999					
Months	Max Length: 8 digits	Max Length: 8	3 digits				
	Total number of member-months in the line of business for the year being reported. This number should be the same for all records submitted for each	Total number of member-months in the Line of Business for the Year bein reported. This number should be the same for all records submitted for eac line of business.					
	line of business.						
		Note: member-months should be consistent throughout reports e.g. the member-months value reported in the Cost Utilization report should be the same in the Premium Impact report or vice versa.					
Update Field:	Name: Drug Name	Name: Drug N	lame				
David Marrie	Type: String	Type: String	00				
Drug Name	Max Length: 100 characters Format: ABCDE	Format: ABCD	LOO characters E				
	Name of the drug for the NDC reported. Only include ingredient name.	Name of the o	drug for the NI	DC reported. Only inclu	de ingredient name.		
		NDC	Drug Name	Drug Product Name	Label Name		
	For example, if the NDC has a Drug	0000000000	EFAVIRENZ-	EFAVIRENZ-EMTRICITABINE-	ATRIPLA		
	Product Name of "fluoxetine HCL 20 mg	00000000000000	EMTRICITABINE	TENOFOVIR DISOPROXIL			
	tablets", then this field should be		-TENOFOVIR	FUMARATE 10MG TABLET			
	reported as "fluoxetine". All drug		DISOPROXIL FUMARATE				
	product names with "fluoxetine" in its	0000000000	ADALIMUMAB	ADALIMUMAB	HUMIRA		
	name should be reported as a single	00000000000	ADALIMUMAB	PEN INJ 40MG/0.8			
	Drug Name in this field. Combination	000000000000000000000000000000000000000	ADALIMUMAB	ADALIMUMAB PEN INJ CD/UC/HS	HUMIRA CD/UC/HS STARTER		
	drug product names should be reported	0000000000	AMOXICILLIN	AMOXICILLIN 500 MG	AMOXICILLIN		
	individually as its own Drug Name	00000000000	AMOXICILLIN	TABLET AMOXICILLIN 500 MG	AMOXICILLIN		
	instead of by each ingredient.	0000000000	AWIOAICILLIN	CAPSULE	AMOXICILLIN		
	NOTE: Special characters, hyphens, symbols, or slashes are allowed.	NOTE: Special	characters, hy	phens, symbols, or slas,	hes are allowed.		
Update Field:	Name: Drug Product Name	Name: Drug P	roduct Name				
	Type: String	Type: String					
Drug Product Name	Max Length: 100 characters Format: ABCDE	Max Length: 1 Format: ABCD	LOO characters E				
	Name of the drug product for the NDC						
	reported, to include ingredient name as						
	reported in standardized drug databases. This name should include						
	ingredient, salt form, dosage form, strength, and any other information	NDC	Drug Name	Drug Product Name	Label Name		
	specific to the NDC.						
	For example, "fluoxetine HCL 20 mg	00000000000	EFAVIRENZ- EMTRICITABINE -TENOFOVIR	EFAVIRENZ-EMTRICITABINE- TENOFOVIR DISOPROXIL FUMARATE 10MG TABLET	ATRIPLA		
	tablets" is acceptable.		DISOPROXIL FUMARATE				
		0000000000	ADALIMUMAB	ADALIMUMAB PEN INJ 40MG/0.8	HUMIRA		
		0000000000	ADALIMUMAB	ADALIMUMAB PEN INJ CD/UC/HS	HUMIRA CD/UC/HS STARTER		
		0000000000	AMOXICILLIN	AMOXICILLIN 500 MG TABLET	AMOXICILLIN		
		0000000000	AMOXICILLIN	AMOXICILLIN 500 MG CAPSULE	AMOXICILLIN		

		NOTE: Special characters, hyphens, symbols, or slashes are allowed.				
Update Field: Label Name	Name: Label Name Type: String Max Length: 100 characters Format: ABCDE Proprietary or legal name as marketed	Name: Label Name Type: String Max Length: 100 characters Format: ABCDE Proprietary or legal name as marketed by the manufacturer.				
	by manufacturer. For example,	NDC	Drug Name	Drug Product Name	Label Name	
	"fluoxetine HCL", "fluoxetine DR" are acceptable.	0000000000	EFAVIRENZ- EMTRICITABINE -TENOFOVIR DISOPROXIL FUMARATE	EFAVIRENZ-EMTRICITABIN TENOFOVIR DISOPROXIL FUMARATE 10MG TABLET		
		0000000000	ADALIMUMAB	ADALIMUMAB PEN INJ 40MG/0.8	HUMIRA	
		0000000000	ADALIMUMAB	ADALIMUMAB	HUMIRA CD/UC/HS	
		0000000000	AMOXICILLIN	PEN INJ CD/UC/HS AMOXICILLIN 500 MG TABLET	STARTER AMOXICILLIN	
		0000000000	AMOXICILLIN	AMOXICILLIN 500 MG CAPSULE	AMOXICILLIN	
		NOTE: Special characters, hyphens, symbols, or slashes are allowed				
New Field:Total Number of Prescriptions(after Specialty Indicator field)New Field:Total Cost of Prescriptions(after Total Number of Prescriptions field)New Field:Expenditures for Top 25 Most Prescribed Drugs(after		Name: Total Number of Prescriptions Filled Type: Numeric Format: String Max Length: 50 digits Total number of prescriptions filled for reporting year. Name: Total Cost of Prescriptions Filled Type: Numeric Format: String Max length: 50 digits Total cost of prescriptions filled for reporting year. Vame: Expenditures for Top 25 Most Prescribed Drugs Type: Numeric Format: 999999999999999999999999999999999999				
Utilization Rank field)		NDC	Drug Name		Expenditures for Top 25 Most Prescribed Drugs	
		000000000		1		
		000000000	0 Lisinopril	2		
		0000000000	0 Metformin	3		

New Field: Rebate Collected for Top 25 Most Prescribed Drugs			Name: Rebate Collected for Top 25 Most Prescribed DrugsType: NumericFormat: 9999999999999999Max Length: 17 digitsOf the top 25 most frequently prescribed drugs provide the rebate collectedby drug.				
(after Rebate Rank field)			NDC	Drug Name	Utilization Rank	Rebate Collected for Top 25 Most Prescribed Drugs	
			00000000000	Fluoxetine	1		
			00000000000	Lisinopril	2		
			00000000000	Metformin	3		
Update Field: Top 25 Plan Spending		Name: Top 25 Plan Spending Name: Top 25 Plan Spending Type: Numeric Format: 99999999999999999999999999 Max Length: 17 digits Rule: Required if Costliest Rank is populated Nullable Total amount paid to the pharmacy, by line of business, including any member cost-shares, for all paid claims, for each NDCs within the Top 25 Most Costliest Rank, aggregated by Drug Name, in the current year. Excluding dispensing fees or other penalties/incentives due to the pharmacy. This should include all claim types, such as foreign claims, direct member reimbursement claims/paper claims, coordination of benefits, compounds, Veteran Affairs, 340b, etc. NOTE: Do not include the dollar sign (\$) or commas. Correct Example (Individual NDCs have individual spending values):					
Update: General Comments		Any additional information you would like to submit or provide to explain your responses.	Any additional information you would like to submit or provide to explai your responses. Note: Do not include hard returns.				
Update: Table Specifications	Premium Impact	File naming schema: carrier_premium_impact_{YYYY}_{ID}_{Y YYYMMDD}.csv Example: carrier_premium_impact_20 21_C12345_20221001.csv (Please use the submission	File naming sch carrier_premiu	m_impact_{YY • Example: carrier_p v • Please us	: oremium_impact_	_2022_C12345_20231001.cs due date not the date the	

Type: String	Type: String					
_	-					
Format: ABCDE						
Name: Year	Name: Year					
<i>,</i> ,						
Max Length: 4 digits	Max Length: 4 digits Min Length: 4 digits					
Min Length: 4 digits						
Rule: greater than 2021	Rule: 2022					
Year for which the aggregate data is reported.	Current year for which the aggregate data is reported.					
Name: Drug Name	-	lame				
		100 characters				
Format: ABCDE	-					
Name of the drug for the NDC reported.	Name of the	drug for the NI	DC reported. Only inclu	de ingredient name		
	NDC	Drug Name	Drug Product Name	Label Name		
	0000000000	EFAVIRENZ-	EFAVIRENZ-EMTRICITABINE-	ATRIPLA		
0		EMTRICITABINE	TENOFOVIR DISOPROXIL			
		DISOPROXIL	FUMARATE 10MG TABLET			
-		FUMARATE				
	00000000000	ADALIMUMAB	PEN INJ 40MG/0.8	HUMIRA		
Drug Name in this field. Combination	0000000000	ADALIMUMAB	ADALIMUMAB PEN INI CD/UC/HS	HUMIRA CD/UC/HS STARTER		
drug product names should be reported	0000000000	AMOXICILLIN	AMOXICILLIN 500 MG	AMOXICILLIN		
individually as its own Drug Name	0000000000	AMOXICILLIN	TABLET AMOXICILLIN 500 MG	AMOXICILLIN		
instead of by each ingredient.	NOTE: Special	characters hy	CAPSULE	hes are allowed		
NOTE: Special characters, hyphens, symbols, or slashes are allowed.						
Name: Drug Product Name	Name: Drug P	Product Name				
	Type: String					
Format: ABCDE	Max Length: 100 characters Format: ABCDE					
Name of the drug product for the NDC	Name of the d	Irug product for	the NDC reported, to inc	lude ingredient name		
reported, to include ingredient name as	ingredient, salt form, dosage form, strength, and any other information specific to the NDC.					
reported in standardized drug						
specifie to the tibe.	0000000000	EFAVIRENZ-	EFAVIRENZ-EMTRICITABINE-	ATRIPLA		
For example, "fluoxetine HCL 20 mg		-TENOFOVIR	FUMARATE 10MG TABLET			
tablets" is acceptable.		DISOPROXIL FUMARATE				
	0000000000	ADALIMUMAB	ADALIMUMAB PEN INJ 40MG/0.8	HUMIRA		
	0000000000	ADALIMUMAB	ADALIMUMAB	HUMIRA CD/UC/HS STARTER		
	0000000000	AMOXICILLIN	AMOXICILLIN 500 MG	AMOXICILLIN		
	0000000000	AMOXICILLIN	AMOXICILLIN 500 MG	AMOXICILLIN		
	Type: Numeric Format: 9999Max Length: 4 digits Min Length: 4 digits Rule: greater than 2021Year for which the aggregate data is reported.Name: Drug Name Type: String Max Length: 100 characters Format: ABCDEName of the drug for the NDC reported. Only include ingredient name.For example, if the NDC has a Drug Product Name of "fluoxetine HCL 20 mg tablets", then this field should be reported as "fluoxetine". All drug product names with "fluoxetine" in its name should be reported as a single Drug Name in this field. Combination drug product names should be reported individually as its own Drug Name instead of by each ingredient.NOTE: Special characters, hyphens, symbols, or slashes are allowed.Name of the drug product for the NDC reported, to include ingredient name as reported in standardized drug databases. This name should include ingredient, salt form, dosage form, strength, and any other information specific to the NDC.For example, "fluoxetine HCL 20 mg	Format: ABCDEFormat: ABCCName: Year Type: Numeric Format: 9999Max Length: 4 digits Min Length: 4 digits Rule: greater than 2021Name: Year Type: Numeric Format: 9999 Max Length: 4 digits Rule: greater than 2021Name: Year Type: Numeric Format: 9999 Max Length: 100 characters Format: ABCDEName: Drug Name Type: String Max Length: 100 characters Format: ABCDEName: Drug N Max Length: 100 characters Format: ABCDEName: Drug N Max Length: 100 characters Format: ABCDEName of the Nome of the NDC reported.Name of the drug for the NDC reported. Only include ingredient name.Name of the Oor <br< td=""><td>Format: ABCDEFormat: ABCDEFName: Year Type: Numeric Format: 9999 Max Length: 4 digits Min Length: 4 digits Rule: greater than 2021Name: Corrat: 9999 Max Length: 4 digits Rule: greater than 2021Year for which the aggregate data is reported.Current year for which the : Rule: 2022Year for which the aggregate data is reported.Current year for which the : Pre-String Max Length: 100 characters Format: ABCDEName: Drug Name Type: String Product Name of filoxetine HCL 20 mg tablets", then this field should be reported as filoxetine". All drug product names should be reported as a single Drug Name in this field. Combination drug product names should be reported individually as its own Drug Name instead of by each ingredient.Name: Drug Product Name Type: String Max Length: 100 characters Format: ABCDENAme: Drug Product Name Type: String Max Length: 100 characters Format: ABCDEName: Drug Product Name Type: String Max Length: 100 characters Format: ABCDEName: Drug Product Name Type: String Max Length: 100 characters Format: ABCDEName of the drug product for the NDC reported, to include ingredient name as reported in standardized drug databases. This name should include ingredient, salt form, dosage form, strength, and any other information specific to the NDC.Name of the drug product for the NDC.NotFor example, "fluoxetine HCL 20 mg tablets" is acceptable.Name of the drug product for the NDC.NotFor wample, "fluoxetine HCL 20 mg tablets" is acceptable.Name of the drug product for the NDC.NotFor wample, "fluoxetine HCL 20 mg tablets" is acceptable.<td< td=""><td>Format: ABCDE Format: ABCDE Name: Year Type: Numeric Format: 9999 Format: 9999 Max Length: 4 digits Min Length: 4 digits Min Length: 4 digits Min Length: 4 digits Rule: greater than 2021 Rule: 2022 Year for which the aggregate data is Current year for which the aggregate data is report Type: String Max Length: 100 characters Format: ABCDE Name: Drug Name Type: String Max Length: 100 characters For example, if the NDC has a Drug Product Name of "Huoxetine HCL 20 mg tablets", then this field should be reported as "fluoxetine" in Its name should be reported as a single Drug Name in this field. Combination drug product names should be reported as a single Drug Name in this field. Combination drug product names should be reported as a single Drug Name in this field. Combination drug product names and whore and low are an allowed. NOTE: Special characters, hyphens, symbols, or slast NOTE: Special characters, hyphens, symbols, or slast NOTE: Special characters Name of the drug product for the NDC reported to instandardized drug databases. This name should include grege form, strength, and any other information specific to the NDC. Name of the drug product for the NDC Pore example, "fluoxetine HCL 20 mg tablets" is acceptable. Name of the drug p</td></td<></td></br<>	Format: ABCDEFormat: ABCDEFName: Year Type: Numeric Format: 9999 Max Length: 4 digits Min Length: 4 digits Rule: greater than 2021Name: Corrat: 9999 Max Length: 4 digits Rule: greater than 2021Year for which the aggregate data is reported.Current year for which the : Rule: 2022Year for which the aggregate data is reported.Current year for which the : Pre-String Max Length: 100 characters Format: ABCDEName: Drug Name Type: String Product Name of filoxetine HCL 20 mg tablets", then this field should be reported as filoxetine". All drug product names should be reported as a single Drug Name in this field. Combination drug product names should be reported individually as its own Drug Name instead of by each ingredient.Name: Drug Product Name Type: String Max Length: 100 characters Format: ABCDENAme: Drug Product Name Type: String Max Length: 100 characters Format: ABCDEName: Drug Product Name Type: String Max Length: 100 characters Format: ABCDEName: Drug Product Name Type: String Max Length: 100 characters Format: ABCDEName of the drug product for the NDC reported, to include ingredient name as reported in standardized drug databases. This name should include ingredient, salt form, dosage form, strength, and any other information specific to the NDC.Name of the drug product for the NDC.NotFor example, "fluoxetine HCL 20 mg tablets" is acceptable.Name of the drug product for the NDC.NotFor wample, "fluoxetine HCL 20 mg tablets" is acceptable.Name of the drug product for the NDC.NotFor wample, "fluoxetine HCL 20 mg tablets" is acceptable. <td< td=""><td>Format: ABCDE Format: ABCDE Name: Year Type: Numeric Format: 9999 Format: 9999 Max Length: 4 digits Min Length: 4 digits Min Length: 4 digits Min Length: 4 digits Rule: greater than 2021 Rule: 2022 Year for which the aggregate data is Current year for which the aggregate data is report Type: String Max Length: 100 characters Format: ABCDE Name: Drug Name Type: String Max Length: 100 characters For example, if the NDC has a Drug Product Name of "Huoxetine HCL 20 mg tablets", then this field should be reported as "fluoxetine" in Its name should be reported as a single Drug Name in this field. Combination drug product names should be reported as a single Drug Name in this field. Combination drug product names should be reported as a single Drug Name in this field. Combination drug product names and whore and low are an allowed. NOTE: Special characters, hyphens, symbols, or slast NOTE: Special characters, hyphens, symbols, or slast NOTE: Special characters Name of the drug product for the NDC reported to instandardized drug databases. This name should include grege form, strength, and any other information specific to the NDC. Name of the drug product for the NDC Pore example, "fluoxetine HCL 20 mg tablets" is acceptable. Name of the drug p</td></td<>	Format: ABCDE Format: ABCDE Name: Year Type: Numeric Format: 9999 Format: 9999 Max Length: 4 digits Min Length: 4 digits Min Length: 4 digits Min Length: 4 digits Rule: greater than 2021 Rule: 2022 Year for which the aggregate data is Current year for which the aggregate data is report Type: String Max Length: 100 characters Format: ABCDE Name: Drug Name Type: String Max Length: 100 characters For example, if the NDC has a Drug Product Name of "Huoxetine HCL 20 mg tablets", then this field should be reported as "fluoxetine" in Its name should be reported as a single Drug Name in this field. Combination drug product names should be reported as a single Drug Name in this field. Combination drug product names should be reported as a single Drug Name in this field. Combination drug product names and whore and low are an allowed. NOTE: Special characters, hyphens, symbols, or slast NOTE: Special characters, hyphens, symbols, or slast NOTE: Special characters Name of the drug product for the NDC reported to instandardized drug databases. This name should include grege form, strength, and any other information specific to the NDC. Name of the drug product for the NDC Pore example, "fluoxetine HCL 20 mg tablets" is acceptable. Name of the drug p		

		NOTE: Special	characters, hy	phens, symbols, or slasl	hes are allowed.
Update Field: Label Name	Name: Label Name Type: String Max Length: 100 characters Format: ABCDE Proprietary or legal name as marketed by manufacturer. For example, "fluoxetine HCL", "fluoxetine DR" are acceptable.	Format: ABCD	100 characters PE	s marketed by the manu Drug Product Name EFAVIRENZ-EMTRICITABINE- TENOFOVIR DISOPROXIL FUMARATE 10MG TABLET	ufacturer. Label Name ATRIPLA
		0000000000	DISOPROXIL FUMARATE ADALIMUMAB ADALIMUMAB	ADALIMUMAB PEN INJ 40MG/0.8 ADALIMUMAB PEN INJ CD/UC/HS	HUMIRA HUMIRA CD/UC/HS STARTER
		00000000000 00000000000 NOTE: Special	AMOXICILLIN AMOXICILLIN characters, hy	AMOXICILLIN 500 MG TABLET AMOXICILLIN 500 MG CAPSULE /phens, symbols, or slasi	AMOXICILIN AMOXICILIN hes are allowed.
Update: General Comments	Any additional information you would like to submit or provide to explain your responses.	Any additional information you would like to submit or provide to explai your responses. Note: Do not include hard Returns.			