

Change Summary

Carriers Data Submission Guide v4.0 and v5.0

The changes between version 4.0 and 5.0 of the carriers' data submission guides (DSG) are indicated in red in the table below.

Type of change	Template	Version 4.0 (Current)	Version 5.0
Update Definition:		"Current year" means the calendar year 2022.	"Current year" means the calendar year 2023.
Current year Update Definition:		"Prior year" means calendar year 2021.	"Prior year" means calendar year 2022.
Prior year File Specifications		All files submitted must be text files with comma-separated values (CSV). The text should be encoded using the UTF 8 standard. Line endings in UNIX ("\n") or Windows ("\r\n") format are both acceptable. The header row must be included in every file. For detailed technical guidance, see the Library of Congress CSV Definition.	All files submitted must be text files with comma-separated values (CSV). The header row must be included in every file. For detailed technical guidance, see the Library of Congress CSV Definition.
Update: Table Specifications	Cost Utilization	File naming schema: carrier_cost_utilization_{YYYY}_{ID}_{YYYMMDD}.csv Example: carrier_cost_utilization_2022_C12345_2 0231001.csv (Please use the submission due date not the date the report was prepared) The submission of this report for this reporting year is due on December 1, 2023, and should include data effective	File naming schema: carrier_cost_utilization_{YYYY}_{ID}_{YYYYMMDD}.csv • Example: carrier_cost_utilization_2023_C12345_20241001.csv • Please use the submission due date not the date the report was prepared for YYYYMMDD. • The submission of this report for this reporting year is due on October 1, 2024, and should include data effective for 2023.
Update Field: Year		for 2022. Name: Year Type: Numeric Format: 9999 Max Length: 4 digits Min Length: 4 digits Rule: 2022	Name: Year Type: Numeric Format: 9999 Max Length: 4 digits Min Length: 4 digits
Update Field:		Name: NDC Type: Numeric	Rule: 2023 Name: NDC Type: Text

	Format: 00000000000	Format: 00000000000	0		
	Max Length: 11 digits	Max Length: 11 digits			
	Min Length: 11 digits	Min Length: 11 digits			
	A three-segment code maintained by	A three-segment code	e maintained by th	ne Federal Food and	Drug
	the Federal Food and Drug	Administration that in	· ·		=
	Administration that includes a labeler	code for a drug produ		, ,	, ,
	code, a product code, and a package				
	code for a drug product.	Example: 000123456	78		
		NOTE: The NDC field	must be eleven di	gits long and mainta	in leading zeros.
		Formula to keep lead	=		
		2=text(F2,"00000000 NDCs to the NDC colu			= =
		NDES to the NDE cold	iiiii (i). Delete tik	e extra column you c	reateu.
Update Field:		Name: Utilization Ran	nk		
Hiliartian Bank		Type: Numeric			
Utilization Rank		Format: 99 Max Length: 2 digits			
		Rule: only values 1 th	rough 25 are acce	pted	
		Nullable			
		Rank of top 25 most f	requently prescrib	ned prescription dru	gs (aggregated by
		Drug Name not indivi			
		line of business in the	· ·		
		should be ranked witl	=	=	=
		Line of Business for th	ne reporting year.	Drug Names with de	ecreasing
		utilization should be r	anked with decre	asing values until the	e 25th Drug
		Name is reported wit	h "25". Multiple N	IDCs with the same [Drug Name are
		expected to be reported with the same Utilization Rank value to reflect			
		total amount of drug	products with the	same ingredient.	
		If the NDC is not one	of the tan 25 mas	t fraguantly procesi	and procesintian
		If the NDC is not one drugs by Drug Name,	· · · · · · · · · · · · · · · · · · ·		
		not report '0' or 'null'		iu bialik (e.g., ali e	mpty string, do
		This includes all paid	claims in the curre	ent vear regardless o	of provider
		network status. This s			· ·
		direct member reimb	ursement claims/	paper claims, coordi	nation of
		benefits, compounds,	, Veteran Affairs, 3	340b, etc.	
		"Note: if two or more rank value per Drug N	•	DC-9s are tied for a	rank, choose one
		Correct Example (Dru	g Name has same	utilization rank):	
		NDC	D	1 5 - 5 - 1	Labat N
		NDC	Drug Name	Drug Product Name	Label Name
		12345678901	Fluoxetine	Fluoxetine 20	Fluoxetin HCL
		12343070301	riuoxetiile	mg	i idoxetiii fict
		12345678910	Fluoxetine	Elugyating 25	Fluoxetin HCL
		123430/8910	riuoxeline	Fluoxetine 25 mg	riuoxeliii ncL
		12345678905	Fluoxetine	Fluoxetine 50	Fluoxetin HCL
				mg	
		1 1			I

			Incorrect Example (I	Orug Name has mul	tiple utilization rank	(s):		
			NDC	Drug Name	Drug Product Name	Label Name		
			12345678901	Fluoxetine	Fluoxetine 20 mg	Fluoxetin HCL		
			12345678910	Fluoxetine	Fluoxetine 25 mg	Fluoxetin HCL		
			12345678905	Fluoxetine	Fluoxetine 50 mg	Fluoxetin HCL		
Expenditures Format: 999999999999999999999999999999999999				999999999999999999999999999999999999999				
			NDC	Drug Name	Utilization	Rank Expenditure		
ı			0000000000	Fluoxetine	1			
			0000000000	Lisinopril	2			
1			0000000000 Metformin Note: If no amount enter 0.00		3			
Update Field Rebate Rank			Rank of top 25 press NDC) as defined by to in the current year. I should be ranked with reported Line of Bus decreasing rebates of Drug Name is reported are expected to be rebates received for the NDC is not one then leave the field. This includes all paid network status. This direct member reim benefits, compound. "Note: if two or more rank value per Drug."	the most rebate do Drug Names with the "1" to denote the siness for the report nould be ranked with ed with "25". Multieported with the service of the top 25 prese "blank" (e.g., an em delaims in the currese should include all obursement claims/se, Veteran Affairs, and the service of the top 25 prese "blank" (e.g., an em delaims in the currese should include all obursement claims/se, Veteran Affairs, and the surrese should include all obursement claims/se, Veteran Affairs, and the surrese prug Names or Name or NDC-9."	llars retained for eache highest rebate do the highest rebate retaing year. Drug Nameth decreasing values iple NDCs with the same Rebate Rank van the same ingredier cription drugs by recepty string, do not reserved as the same regardless of claim types, such as paper claims, coord 340b, etc.	ch line of business ollars retained cained for the les with so until the 25th came Drug Name clue to reflect the int. bate received, eport '0' or 'null'). of provider foreign claims, ination of		
			12345678901	Fluoxetine	Fluoxetine 20 mg	Fluoxetin HCL		

	1		1-1					
			12345678910	Fluoxetine	Fluoxe mg	tine 25	Fluo	ketin HCL
	12345678905	Fluo	cetin HCL					
			Incorrect Example (D	rug Name has mul	ltiple reba	ite ranks):		
		NDC	Drug Name	Drug I Name	Product	Labe	el Name	
			12345678901	Fluoxetine	mg	mg		xetin HCL
			12345678910	Fluoxetine	mg	etine 25		xetin HCL
			12345678905	Fluoxetine	Fluoxe mg	etine 50	Fluo	xetin HCL
Update Field Rebate Collected for Top 25 Most Prescribed Drugs			Name: Rebate Collect Type: Numeric Format: 999999999999999999999999999999999999	199999.99 s requently prescribe gs do not have a re collected.	ed drugs p	provide the		r \$0.00 to
	oc	NDC	Drug Name		Utilization	Rank	Rebate Coll Top 25 Mos Drugs	
		0000000000	Fluoxetine		1			
		0000000000	Lisinopril		2			
			00000000000 Note: If no amount 6	Metformin		3		
Update Field WAC Increase Rank	WAC Increase		Name: WAC Increase Rank Type: Numeric Format: 99 Max Length: 2 digits Rule: only values 1 through 25 are acceptable Nullable					
			Rank of top 25 prescribinghest WAC increase prescription drugs m line of business, exprincrease in WAC and increase is defined as WAC on December 3 31st of the prior year	e from the prior ye ade available for the ressed as a percent "25" indicates the s WAC on Decemb 1st, of the prior ye	ear to the he first tir tage wher 25th grea er 31st of	current yea me that plan re "1" indica atest increa the reporti	ar, exclusing year, ates the see in Wing year	oding for each greatest /AC. WAC
			For example: (WAC December 31, 2019.	December 31, 2020) – WAC D	ecember 3	1, 2019)/WAC
			If the NDC is not one leave the field "blank	-				

			-	"Note: if two or more	Drug Names or N	DC-9s are tied for a	rank, choose one
				rank value per Drug N	•		,
			(Correct Example (Eac	h NDC-9 has an inc	dividual WAC Increa	se Rank):
				NDC	Drug Name	Drug Product	Label Name
						Name	
			12345678901	Fluoxetine	Fluoxetine 20 mg	Fluoxetin HCL	
				12345678910	Fluoxetine	Fluoxetine 25 mg	Fluoxetin HCL
				12345678905	Fluoxetine	Fluoxetine 50 mg	Fluoxetin HCL
			I	Incorrect Example (Ea	ich NDC-9 has an r	nultiple WAC Increa	ise Ranks):
				NDC	Drug Name	Drug Product Name	Label Name
				12345678901	Fluoxetine	Fluoxetine 20 mg	Fluoxetin HCL
				12345678910	Fluoxetine	Fluoxetine 25 mg	Fluoxetin HCL
				12345678905	Fluoxetine	Fluoxetine 50 mg	Fluoxetin HCL
Update Field Costliest Rank		TFF FFF FFF FFF FFF FFF FFF FFF FFF FFF	Name: Costliest Rank Type: Numeric Format: 99 Max Length: 2 digits Rule: only values 1 th Nullable Rank of the 25 most of not individual NDC) as business, including dis penalties or incentive indicates the most co drug. Multiple NDCs a Rank value to reflect to ingredient. Highest amount of co for costliest rank. This of provider network s foreign claims, direct	rough 25 are acceptostilest prescriptions defined by total prescriptions to the pharmacy stilest and "25" is a series expected to be the total amount of the stilest and the s	on drugs (aggregate plan spending for ea of any rebates, and , in the current year the 25th most costli reported with the sof drug products with current year would claims in the current include all claim types.	ach line of excluding where "1" dest prescription same Costliest h the same qualify as the top t year regardless pes, such as	
				coordination of benef "Note: if two or more rank value per Drug N	fits, compounds, V	eteran Affairs, 340k	o, etc.
				Correct Example (Dru		Costliest Rank):	

			NDC	Drug Name	Drug Product Name	Label Name
					Name	
			12345678901	Fluoxetine	Fluoxetine 20 mg	Fluoxetin HCL
			12345678910	Fluoxetine	Fluoxetine 25 mg	Fluoxetin HCL
			12345678905	Fluoxetine	Fluoxetine 50 mg	Fluoxetin HCL
			Incorrect Example (Drug Name has mu	Iltiple Costliest Ranks	5):
			NDC	Drug Name	Drug Product Name	Label Name
			12345678901	Fluoxetine	Fluoxetine 20 mg	Fluoxetin HCL
			12345678910	Fluoxetine	Fluoxetine 25 mg	Fluoxetin HCL
			12345678905	Fluoxetine	Fluoxetine 50 mg	Fluoxetin HCL
Update: Table Specifications	Premium Impact	File naming schema: carrier_premium_impact_{YYYY}_{ID}_{Y YYYMMDD}.csv Example: carrier_premium_impact_20 22_C12345_20231001.csv (Please use the submission due date not the date the report was prepared) The submission of this report for this reporting year is due on December 1, 2023, and should include data effective for 2022.	2024, and should in	mpact_{YYYY}_{ID}_ Example: carrier_premiur v Please use the s report was prep	n_impact_2023_C12. ubmission due date rared for YYYYMMDD eporting year is due	not the date the
Update Field: Year		Name: Year Type: Numeric Format: 9999 Max Length: 4 digits Min Length: 4 digits Rule: 2022 Name: Year Type: Numeric Format: 9999 Max Length: 4 digits Mix Length: 4 digits Min Length: 4 digits Rule: 2023				
	Current year for which the aggregate data is reported. Current year for which the aggregate data				data is reported.	
Update Field: NDC		Name: NDC Type: Numeric Format: 0000000000 Max Length: 11 digits Min Length: 11 digits	Name: NDC Type: Text Format: 000000000 Max Length: 11 digi Min Length: 11 digi	its		
		A three-segment code maintained by the Federal Food and Drug Administration that includes a labeler				

	code, a product code, and a package	A three-segment code maintained by the Federal Food and Drug
	code for a drug product.	Administration that includes a labeler code, a product code, and a package
		code for a drug product.
		Example: 00012345678
		NOTE: The NDC field must be eleven digits long and maintain leading zeros.
		Formula to keep leading zeros – in a empty column type in field
		2=text(F2,"0000000000"). Copy formula all the way down then copy those
		NDCs to the NDC column (F). Delete the extra column you created.