

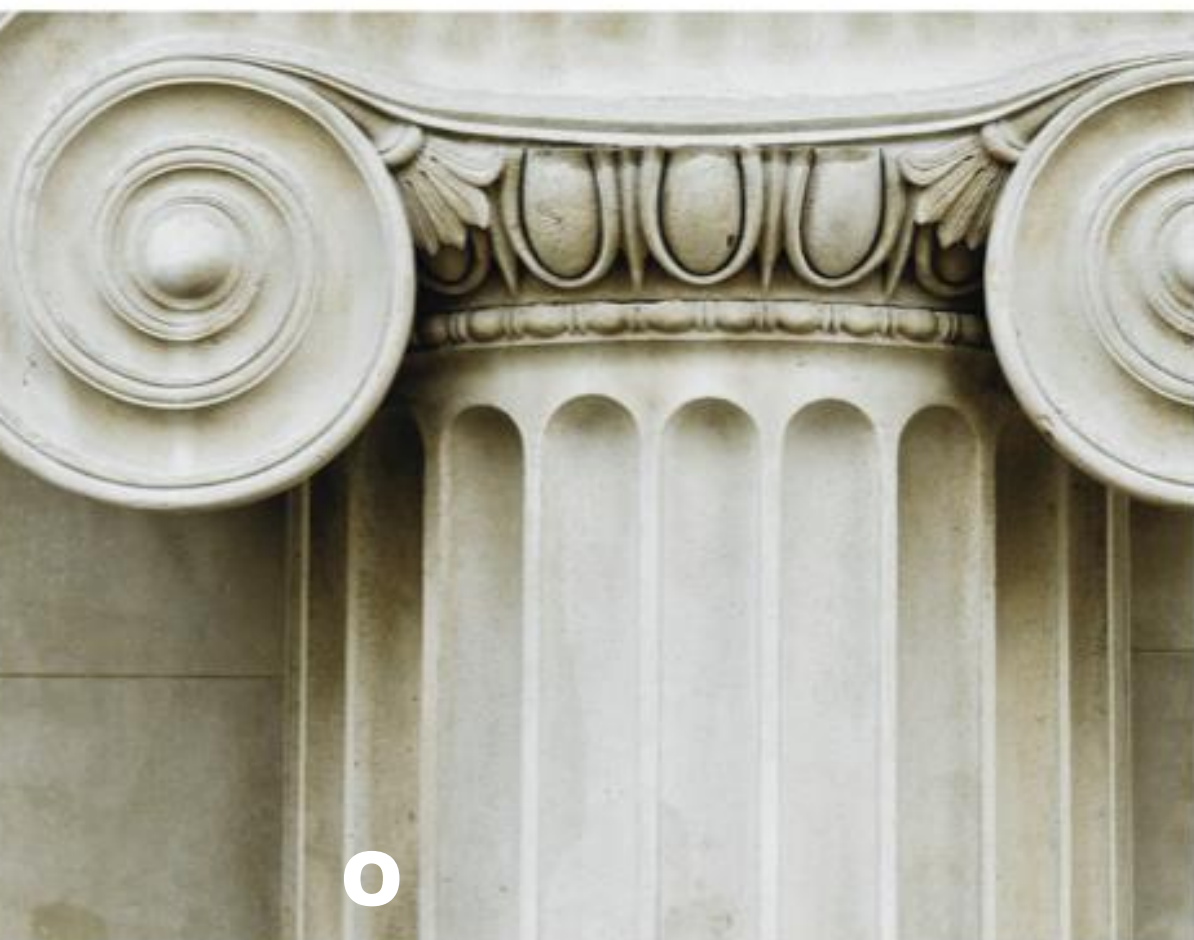


**MYERS AND
STAUFFER** LC
CERTIFIED PUBLIC ACCOUNTANTS

OVERVIEW OF MID-POINT ASSESSMENT

**INDEPENDENT ASSESSOR
AUGUST 2019**

DEDICATED TO GOVERNMENT HEALTH PROGRAMS



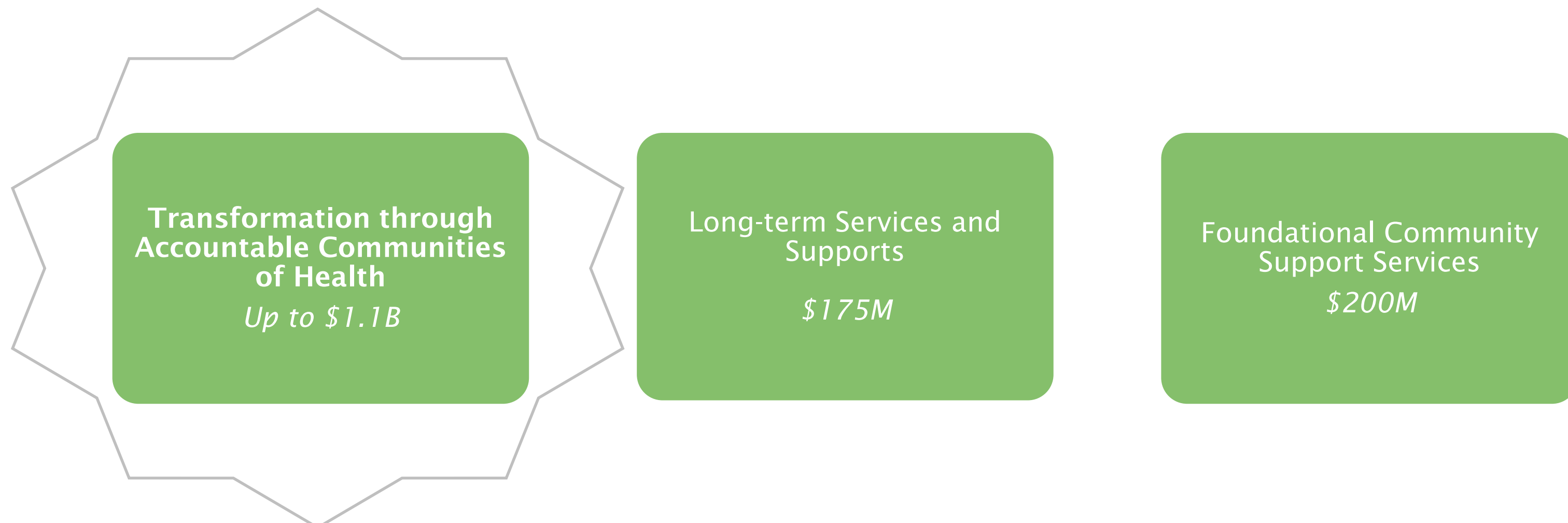


PRESENTATION AGENDA

- Discuss the purpose and objectives of the Mid-point Assessment
- Review the Mid-point Assessment process
- Communicate the timeline of the Mid-point Assessment
- Describe the approach to receive public comments to Mid-point Assessment findings

MEDICAID TRANSFORMATION PROJECT

- Section 1115 Delivery System Reform Incentive Payment (DSRIP) program demonstration waiver.
- The Medicaid Transformation Project (MTP) seeks to implement innovative strategies to improve health outcomes and use resources wisely.
- Authorizes up to \$1.5 billion in federal investments.
- A key effort of Healthier Washington.
- Three initiatives:



COMMON TERMS

Term	Definition
Initiative 1	This initiative lets communities improve the health system at the local level. Each region, led by its Accountable Community of Health (ACH), is pursuing Transformation projects, specific to the needs of its region.
Accountable Communities of Health (ACH)	Self-governing organizations with multiple community representatives that are focused on improving health and transforming care delivery for the populations that live within their region.
Accountable Communities of Health (ACH) Projects	The menu of eight Medicaid Transformation projects that ACHs may choose to implement, as outlined in the Project Toolkit. Each ACH is required to implement a minimum of four projects.
Independent Assessor (IA)	State-contracted entity tasked with assessing ACH Project Plans, semi-annual reports, and implementation plans. The IA is also responsible for conduct of the DSRIP mid-point assessment and overall monitoring for at-risk projects, and for calculating earned project incentives based on Pay for Reporting (P4R) milestones, deliverables, and metrics and Pay for Performance (P4P) metrics.



A REGIONAL APPROACH

Role and Responsibilities

- ACHs play a critical role:
 - Coordinate and oversee regional projects aimed at improving care for Apple Health (Medicaid) beneficiaries.
 - Apply for and support distribution of incentive payments on behalf of partnering providers for achievement of defined milestones within the region.



INDEPENDENT ASSESSOR (IA)

Role and Responsibilities

Myers and Stauffer

- As required by the special terms and conditions (STCs), the independent assessor is responsible for making recommendations to the state regarding ACH project plans, conducting a mid-point assessment and completing ongoing reviews of ACH project plans through semi-annual report reviews.
- The independent assessor has no affiliation with the ACHs or their partnering providers.
- Nationally-based certified public accounting and consulting firm that serves local, state, and federal public health care and social services agencies.
- More than 15 years of experience with Section 1115 waivers.
- Actively involved in Medicaid transformation programs since 2012.



DSRIP Team Experience

Examples of team experience with DSRIP programs include:

- Conducting independent assessments.
- Developing DSRIP project application processes.
- Creating application assessment tools and evaluation criteria.
- Providing project plan reviews and applicant feedback.
- Convening independent review panels.
- Executing extensive communication plans at all DSRIP projects stages.
- Supporting participating providers through learning collaborative forums and other trainings.
- Maintaining program websites.
- Analyzing data.

MID-POINT ASSESSMENT OBJECTIVE

Funding and Mechanics Protocol Section VII. Page 13.

- In accordance with STC 21, a mid-point assessment will be conducted by the IA in DY3:
 - **mid-point assessment will be used to systematically identify recommendations for improving individual ACHs *and***
 - **implementation of their Project Plans.**
- ACHs will be required to participate in the mid-point assessment and adopt recommendations that emerge from the review. Recommendations could be in the form of enhancing processes, communication or other administrative activities.
- The state may withhold a percentage or all future incentive funds if the ACH fails to adopt recommended changes, even if all other requirements for payment are met.
- This review focuses specifically on Initiative 1 projects. An independent external evaluation will be conducted to evaluate Initiatives 1, 2, and 3 to measure changes in performance metrics and progress on delivery system transformation.



MID-POINT ASSESSMENT OVERVIEW

MPA Process



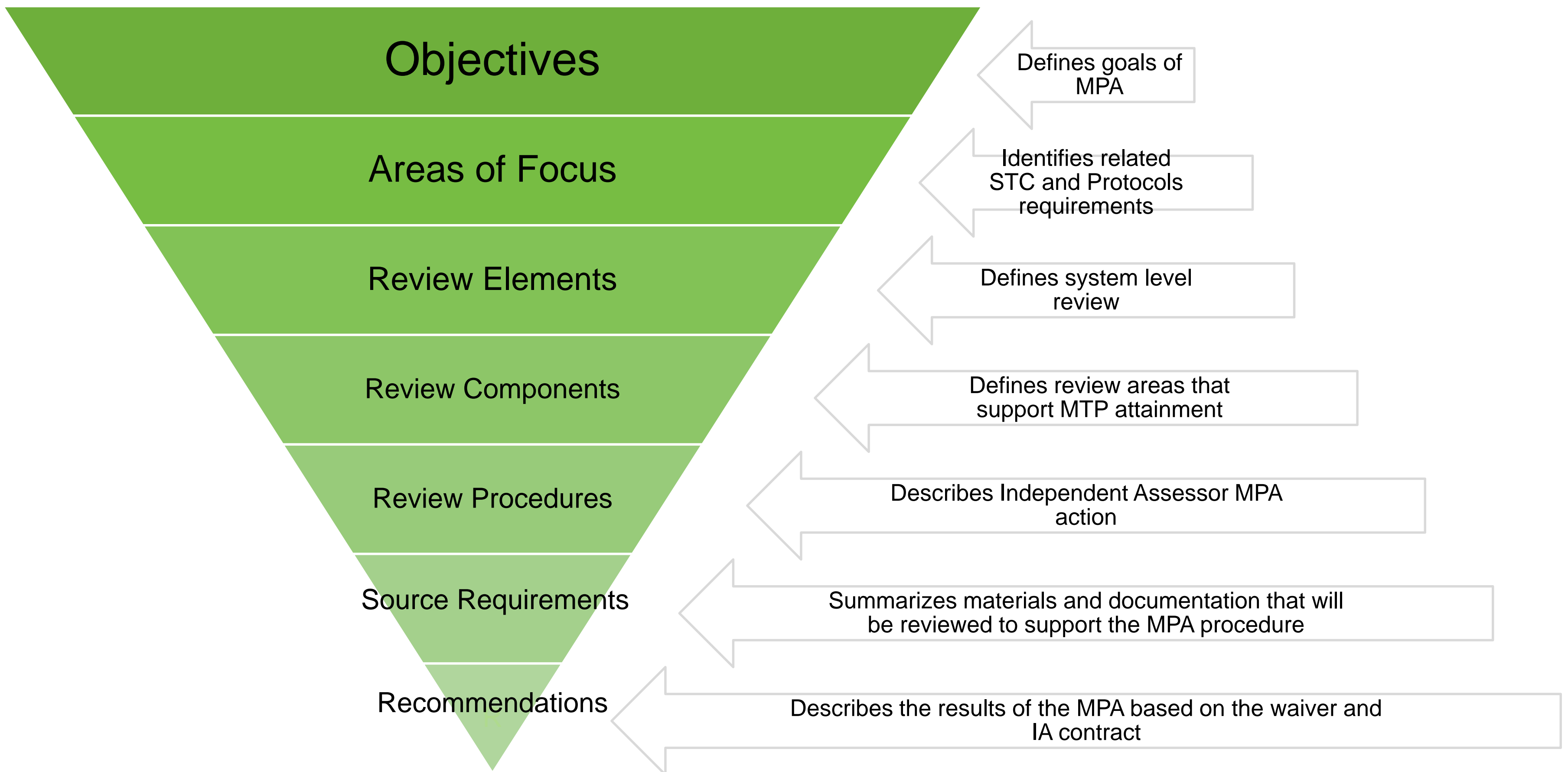
MID-POINT ASSESSMENT (MPA)

Guiding Principles

- Conduct a fair and impartial review of the Accountable Communities of Health (ACHs) and Projects
- Confirm compliance with the Special Terms and Conditions (STCs) and approved protocols
- Confirm approved project plan implementation progress
- Offer recommendations when gaps to milestone achievement are identified
- Highlight promising practices and areas of success
- Cultivate shared ACH and partner accountability



MID-POINT ASSESSMENT OVERVIEW



MID-POINT ASSESSMENT

Objective 1 - Overview

What needs to be reviewed to demonstrate the achievement of Objective 1?

Objective	Areas of Focus	Review Elements	Review Components
Objective 1: Demonstrate compliance with the STCs and approved protocols	1. STC 34: ACH Project Plans 2. STC 18: ACH Management; STC 19: ACH Composition & Participation 3. STC 90: Program Integrity	ACH Management & Project Plan Execution	1. Project Implementation 2. ACH Organization Governance & Compliance Representation 3. Distribution of Earned Incentive Funds

MID-POINT ASSESSMENT

Objective 2 - Overview

What needs to be reviewed to demonstrate the achievement of Objective 2?

Objective	Area of Focus	Review Elements	Review Components
Objective 2: Assess project health to provide final recommendations of continuation, modification, corrective action or discontinuation	1. STC 34: ACH Project Plans	1. ACH Management & Project Plan Execution	1. Training & Technical Assistance 2. Workforce 3. ACH Organization Governance & Compliance 4. Distribution of Earned Incentive Funds 5. Population Health Management through Data Exchange & Usage 6. Clinical Integration 7. Health Equity
		2. Local Health Initiative Efforts	1. Workforce 2. Project Implementation 3. Transition to Integrated Managed Care 4. Community Based Care Coordination

MID-POINT ASSESSMENT

Objective 1 - Overview

What needs to be reviewed to demonstrate the achievement of Objective 1?

EXAMPLE:

Review Element	Review Component	Review Procedure
ACH Management & Project Plan Execution	Project Implementation	Review and confirm key deliverables/outcomes from Stage 2 Milestones completed no later than DY3 Q2 of the ACH Implementation Plan to understand progress related to the Medicaid Transformation

Minimum Source Requirements

- Implementation Plan
- Evidence of implementation milestones as outlined by the toolkit schedule, such as the development of policies/procedures/guidelines and a quality improvement strategy that supports project approaches
- ACH reported promising practices/areas of success/challenges

MID-POINT ASSESSMENT

Objective 2 - Overview

What needs to be reviewed to demonstrate the achievement of Objective 2?

EXAMPLE:

Review Element	Review Component	Review Procedure
Local Health Initiative Efforts	Project Implementation	Review and confirm actionable steps taken by partnering providers to implement their ACH-approved change plan.

Minimum Source Requirements

- SAR Submission
- Evidence of implementation progress at the local level as described in documents such as a provider change plan, MOU or other agreement between the ACH and partnering provider
- Key informant interview with project champion
- Partnering provider reported promising practices/areas of success/challenges



MID-POINT ASSESSMENT

Broad Collection of Perspectives

STATEWIDE AND REGIONAL

ACH

Community
Based
Organization

Primary Care
Provider

Behavioral
Health Care
Provider

Indian Health
Care
Provider

Rural
Provider

FQHC/RHC

Substance
Use Disorder
Provider

Public Health



ASSESSMENT AREAS

Mode of Review

Site Visits

Conference Calls

Focus Groups

Desk Reviews



KEY STAKEHOLDER AND PARTNER INPUT PROCESS

ACHs, partnering providers, and the public will have the opportunity to offer insights and their perspectives related to the health of projects through a public comment period.

- ACHs will receive the Initial MPA findings: November 29, 2019
- Initial MPA findings published to the HCA webpage: December 6, 2019
- Initial MPA findings Public Comment Webinar: December 9, 2019
- ACH and Public Comment Period Ends: December 13, 2019



MID-POINT ASSESSMENT TIMELINE

- Conduct MPA procedures and interviews: September – October 2019
- Submit Initial MPA findings to HCA: November 1, 2019
- Submit Initial MPA findings to ACHs for comment: November 29, 2019
- Initial MPA findings published to the HCA webpage: December 6, 2019
 - MPA findings Public Comment Webinar: December 9, 2019
 - MPA findings ACH and Public Comment Period Ends: December 13, 2019
- Submit MPA Report with final findings to HCA: December 20, 2019
- Submit MPA Report with final findings to ACHs: By end of December 2019
- Advise and Collaborate with HCA and ACHs on Identified Challenges: January 2020



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QUESTIONS

An email box has been created for this project. The Independent Assessor can be reached at WADSRIP@mslc.com